

North Carolina
Hunter and Jumper Association

Established 1973



RULE BOOK

2008

December 1, 2007 Version

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All rule changes are effective December 1, 2007, unless otherwise specified, for the 2008 competition year. Call the NCHJA Office at (919) 269-8785 if you did not get your complimentary copy of the 2008 Rule Book. Additional copies are available from the Office for \$10.00 each. USEF and NCHJA corrections, clarifications, and changes to the December 1 version of the 2007 Rule Book are in **bold**.

**ARTICLES OF INCORPORATION OF THE NORTH CAROLINA HUNTER AND
JUMPER ASSOCIATION, INC.**

This is to certify that we, the undersigned, do hereby associate into a non-stock, non-profit corporation under and by virtue of the laws of the State of North Carolina, and to that hereby set forth.

ARTICLE I

The name of the corporation is The North Carolina Hunter and Jumper Association, Inc.

ARTICLE II

The period of duration is perpetual.

ARTICLE III

The purposes for which the corporation is formed are:

1. To serve and promote the best interests of Recognized Shows, Combined Training Events, Dressage Competitions, and of the Exhibitors who participate in them.
2. To make adequate and fair rule governing competitions and to enforce them for the common benefit.
3. To license Judges, Stewards, and Technical Delegates.
4. To adjust disputes between Management, Exhibitors, and Judges.
5. To adjudicate questions between Management, Exhibitors, and Judges.
6. To maintain proper records in connection with the sport for use of its members.
7. To assign dates to all events recognized by the Association.
8. To establish and maintain an office.
9. To educate and inform the public by publishing a newsletter, magazine, rule book, or other educational pamphlets on the various phases of the equestrian sport.
10. To educate and inform the public by producing and having available for distribution educational films on equitation, breeds of horses and ponies, and the general conduct of showing.
11. To promote the interest of horse and pony breeding.
12. To sponsor educational clinics and forums.
13. To further the art of riding and to encourage horsemanship and the welfare of horses and ponies.
14. To create and maintain public interest, and otherwise implement the equestrian events of the Olympic and Pan American Games and other international equestrian competitions.
15. To protect the welfare of horses insofar as the Association's finances will permit by conducting tests for narcotics and inspections for cruelty to animals.
16. Otherwise to assist in connection with the exhibition of horses insofar as the Association's facilities and finances permit.

ARTICLE IV

In addition to the powers granted corporation under the laws of the State of North Carolina, the corporation shall have full power and authority to purchase, lease, and otherwise acquire, hold, mortgage, convey, and otherwise dispose of all kinds of property, both real and personal, both in this State and in all other States, territories of dependency of the United States; to borrow to raise money; to issue notes, bonds, and debentures and other evidences of indebtedness; to enter into obligations which serve to effectuate the purposes for which this Corporation is formed; to become a member of other associations or corporations organized for any of the purposes above stated, and generally to perform all acts which may be deemed necessary or expedient for the proper and successful performance of the objects and purposes for which the Corporation is created.

ARTICLE V

The Corporation shall have no members except otherwise specified in the By-Laws.

ARTICLE VI

The number and selection of directors shall be provided in the By-Laws.

ARTICLE VII

The initial Registered Agent of the Corporation shall be ARTHUR J. McCASHIN JR., Thoroughbred Training Center, Clemmons, Forsyth County, North Carolina.

ARTICLE VIII

The initial Registered Office of the Corporation shall be Thoroughbred Training Center, Clemmons, Forsyth County, North Carolina.

ARTICLE IX

The initial Board of Directors is:

Mr. Arthur J. McCashin Jr.
Middlebrook Drive
Clemmons, Forsyth County, North Carolina 27012

Mrs. Judy B. Lloyd
2080 Union Cross Road
Winston-Salem, North Carolina

Miss Jane E. Chandler
2363 Westfield Avenue
Winston-Salem, North Carolina

ARTICLE X

The Corporation shall not have and issue capital stock and shall be operated without profit to the members, or to their successors, and no part of the net earnings shall inure, or may lawfully inure, to the benefit of any member or individual. In the event of dissolution of the Corporation the Board of Directors shall donate and convey all of its assets to an organization eligible to receive tax-exempt contributions under the laws of the United States.

ARTICLE XI

The Board of Directors shall have power, by a vote of the majority of said Board, to make, alter, amend, and rescind By-Laws of the Corporation.

ARTICLE XII

The names and addresses of the initial incorporators are:

Mr. Arthur J. McCashin Jr.
Middlebrook Drive
Clemmons, Forsyth County, North Carolina 27012

Mrs. Judy B. Lloyd
2080 Union Cross Road
Winston-Salem, North Carolina

Miss Jane E. Chandler
2363 Westfield Avenue
Winston-Salem, North Carolina

IN WITNESS WHEREOF, the incorporators have hereunto set their hands and affixed their seals, this 12th day of March, 1973.

BY-LAWS OF THE NORTH CAROLINA HUNTER AND JUMPER ASSOCIATION

ARTICLE I - NAME

The name of the corporation shall be The North Carolina Hunter and Jumper Association, Inc.

ARTICLE II - OBJECT

The objects of the Association shall be those as presented in Article II of the Articles of Incorporation. Should The North Carolina Hunter and Jumper Association be dissolved for any reason, the remaining funds in the treasury shall be donated to the United States Equestrian Federation, Inc.

ARTICLE III - MEMBERSHIP

The membership year is from December 1 through November 30.

Section 1. INDIVIDUAL MEMBERS consist of those persons and farms or stables admitted to membership in this Association for annual or life terms. There shall be the following types of memberships:

- (a) **Senior members** - those persons who have reached their 18th birthday on or before December 1 of the membership year. Each Senior member in good standing shall have the right to cast one vote at any meeting of members.
- (b) **Junior members** - those persons who have not reached their 18th birthday on or before December 1 of the membership year. Junior members may attend meetings but cannot vote (with the exception of the Junior member elected to the Board of Directors.).
- (c) **Farm members** - any barn or stable. This membership applies to Year End Award points only. These members do not have the right to vote.
- (d) **Life members** - members in this category have the same privileges as annual members for life.

Section 2. SHOW MEMBERS consist of those corporations, organizations, associations, and others holding horse shows and which have been recognized as members with full voting privileges and responsibilities.

- (a) Show members may be recognized by a majority vote at any meeting of the Board of Directors. A candidate rejected for membership shall not be presented again for a period of six months from the date of rejection.
- (b) Where more than one show is held in a given year by the same management, under the same name, each show shall be considered a separate entity.

- (c) Each Show member shall be privileged to appoint one delegate to the Annual Meeting. A delegate may serve as representative for more than one show member.
- (d) Delegates must be individual Senior members of NCHJA and shall have full voting rights.

ARTICLE IV - OFFICERS

Section 1. The officers of NCHJA shall be a President, First Vice President, Second Vice President, Executive Secretary, Secretary, and Treasurer. All officers shall be members in good standing and shall serve until the election of their duly qualified successors. The office of Second Vice President shall be filled by the immediate past President. No officer shall serve more than two consecutive terms, a term consisting of two years, with the exception of the Secretary and the Treasurer.

Section 2. The President shall preside at all meetings of NCHJA, the Board of Directors, and the Executive Committee. He shall, with the Secretary, sign all contracts and obligations of NCHJA. He shall appoint all committees except the Nominating Committee and shall be ex-officio member of all committees except the Nominating Committee. The President may at any time call a meeting of the Board of Directors and shall do so at the written request of three Directors.

Section 3. In the event of the inability of the President to perform his duties, the First Vice President shall serve as President until the term has ended and an election takes place at the Annual Meeting. This will not affect his eligibility to be nominated as President for a new term.

Section 4. The Secretary shall give notice of all meetings of the Members and Directors; keep accurate records of the meetings of the membership, the Board of Directors, and the Executive Committee; and shall sign, with the President, all contracts and obligations of NCHJA.

Section 5. The Executive Secretary shall:

- (a) Attend all Board of Director meetings but have no vote;
- (b) Keep a record of the accrued points earned toward the Year End Awards;
- (c) Keep all correspondence pertaining to membership, collect dues, and issue cards to those persons admitted to membership;
- (d) Keep all correspondence pertaining to horse recording, collect fees, and issue recording certificates to owners;
- (e) Keep all correspondence pertaining to pony measurement, collect fees, and issue pony measurement cards to owners;
- (f) Keep all correspondence pertaining to horse show shows, horse show applications, collect fees, maintain the horse show calendar, review prize lists for compliance with NCHJA rules, and send show packets to show managers;

- (g) Receive all applications for stewards and judges and forward them to the Licensed Officials Committee and maintain a database of NCHJA approved judges and stewards as well as NCHJA members who are licensed USEF officials;
- (h) Provide a current NCHJA Rule Book and/or amendments to all members;
- (i) Pay all bills associated with the NCHJA Office that are under \$500.00; over \$500.00 permission should be granted by the Treasurer;
- (j) Compile information to be included in a monthly newsletter, print newsletter, and mail newsletter to the membership; and
- (k) Send to the Treasurer copies of all deposits made to NCHJA accounts, receipts for petty cash, and check stubs for all checks written by the Executive Secretary.

Section 6. The Treasurer shall have custody of and be responsible for funds of NCHJA, which he shall deposit in such banks as the Board of Directors shall designate. He shall pay any indebtedness with funds of the Corporation. He shall present at every Annual Meeting or whenever required by the President or a majority of members of the Board of Directors, a detailed statement in writing of the finances of the Corporation.

ARTICLE V - DIRECTORS

Section 1. The governing body of NCHJA shall be the Board of Directors and shall consist of the Officers and not more than 12 Directors elected by the members at the Annual Meeting, one of whom shall be a Junior member elected to serve a term of one year. (The Junior Representative will be elected to a one year term by the Junior members at their Annual Meeting to serve and vote on the Board of Directors. The Junior Representative will choose a committee to represent the various Junior Divisions, i.e., Ponies, Hunters, and Equitation, all of whom will have one collective vote at the Annual Meeting or any other member meeting.) The Directors shall be elected for a term of two years so staggered that one-half the number of Directors is elected each year. Directors must be individual Senior members, and no Director shall serve more than two consecutive terms. If a vacancy occurs in the office of Director, a majority of the Board of Directors shall appoint a qualified member of NCHJA to serve until the next Annual Meeting at which time the members shall elect a Director to fill the remainder of the unexpired term. Directors absent from 50% of the meetings in any one year may be asked to resign.

Section 2. The Board of Directors shall meet a minimum of twice per year. The initial meeting shall be held immediately following the Annual Meeting at which they are elected. Additional meetings may be called by the President and must be called upon written request of three Directors. Notice of the time and place of meeting shall be sent to each member of the Board of Directors not less than ten days prior to the meeting.

Section 3. A majority of the Board of Directors shall constitute a quorum.

Section 4. The Board of Directors shall make and enforce the rules governing recognized competitions, individual members, and all other persons, corporations, associations, or other organizations bound by NCHJA rules. They shall adopt by a two-thirds vote rule changes recommended by the Rules Committee.

ARTICLE VI - MEETINGS

Section 1. Unless otherwise ordered by NCHJA, the Annual Meeting shall be held not earlier than December 1 and not later than January 31. The time and place of the meeting shall be designated by the President with the approval of the Board of Directors. Written notice of the time and place of the Annual Meeting together with the report of the Nominating Committee shall be sent to each individual member and each delegate of the Show members at least 15 days prior to the date of the meeting.

Section 2. Special meetings of NCHJA may be called by the President and shall be called at the written request of not less than three members of the Board of Directors or 20% of the members. Notice of the time and place and the purpose of such special meetings shall be sent to the last known address of each member not less than ten days prior to such meeting.

ARTICLE VII - COMMITTEES

Section 1. **EXECUTIVE COMMITTEE** - The officers of NCHJA shall constitute the Executive Committee and shall have the power to transact between meetings of the Board of Directors all such business as the Board itself might transact. The Board of Directors shall be notified immediately of any such action taken by the Executive Committee and in the absence of objection to the action by a majority of the members of the Board of Directors within ten days following such action shall be considered the action of the Board. The Executive Committee may not amend the By-Laws. The Executive Committee shall meet as needed.

Section 2. **NOMINATING COMMITTEE** - There shall be appointed annually by the Board of Directors a Nominating Committee to consist of four or more persons who shall be individual Senior members. It shall be the duty of the Nominating Committee to submit nominations for Officers and Directors for the coming year. The Nominating Committee can nominate up to, but not more than, three names for each office. Their report shall be submitted to the Board of Directors in sufficient time to allow the Secretary to inform the members not less than 15 days prior to the Annual Meeting. Nominations shall be accepted from the floor at the Annual Meeting for all Directors and Officers except President. Members nominated for President must have served on the Board of Directors for at least one year.

Section 3. **HEARING COMMITTEE** - There shall be appointed annually by the President, with the approval of the Executive Committee, a committee on protests, charges, and hearings. The Committee shall consist of not less than four or more than

eight Directors who, with the President, shall serve as a court. It shall be the duty of the Hearing Committee to hear all protests and charges in connection with alleged violations of the rules. All decisions of the Committee must be in written form, signed by the Chairman and sent to the Executive Secretary's office for NCHJA files. Protest of an alleged violation of an NCHJA rule must be made in writing and sent to the NCHJA Office within 14 days of the occurrence of the alleged violation. The Hearing Committee will review the written protest and make written notice to the accused by certified mail. Accused will have 14 days from receipt of notice to contest the charges. All fines shall be levied by the Hearing Committee.

- Section 4. HORSE SHOW MEMBERSHIP COMMITTEE** - There shall be appointed annually by the President, and approved by the Board of Directors, a committee consisting of three Directors to review any conflicts of horse show applications. This Committee shall make all decisions regarding such horse show recognition.
- Section 5. NCHJA ANNUAL BENEFIT HORSE SHOW COMMITTEE** - There shall be appointed annually by the President two persons to co-chair the Annual Benefit Horse Show Committee. It shall be the duty of this committee to carry out all the business of the Annual Benefit Horse Show sponsored by NCHJA and act on behalf of the Board of Directors. The co-chairs will select members to serve on this committee. **The Annual Benefit Horse Show Committee also will be responsible for soliciting bids from show managers to manage the Annual Benefit Horse Show. The criteria for the bid process will be submitted to the Board of Directors by the Annual Benefit Horse Show co-chairs and approved by the Board of Directors. The Board of Directors will select the show manager from the bids received.**
- Section 6. BANQUET AND AWARDS COMMITTEE** - There shall be appointed annually by the President a Senior member as committee chair of the Banquet and Awards Committee. It shall be the duty of this committee to carry out all of the business of the Annual Banquet and Year End Awards ceremony. The committee chair will select members to serve on this committee. The committee will also arrange the Junior Meeting luncheon, the accommodations, and any arrangements associated with the Annual Meeting and any Board of Directors meetings held in conjunction with the Annual Banquet and Year End Awards ceremony.
- Section 7. LICENSED OFFICIALS AND SHOW STANDARDS COMMITTEE** - There shall be appointed annually by the President and approved by the Board of Directors a committee consisting of four Directors to review applications of Licensed Officials and conduct a steward or judge's clinic as needed. It will be the duty of this committee to review and rule on any protest related to show standards.
- Section 8. NORTH CAROLINA HORSE COUNCIL COMMITTEE** - There shall be appointed annually by the President a chairman of the North Carolina Horse Council Committee. The chairman will select committee members from other

Directors and the membership at large. It shall be the duty of this committee to interact with the North Carolina Horse Council (NCHC.) This committee will assign a person from the committee to serve on the Board of Directors for the NCHC, attend their meetings, and bring to the attention of the NCHC issues of concern to NCHJA and its members. This committee will develop an NCHC report for each NCHJA Board of Directors meeting and a summary for the Annual Meeting reporting on issues of importance to NCHJA.

- Section 9. RULES COMMITTEE** - There shall be appointed annually by the President a Rules Committee to consist of not more than five members. It shall be the duty of the Rules Committee to research and propose rule changes to the Board of Directors and respond to any rule change requests from the members. Rule changes will be distributed to the membership at the Annual Meeting.
- Section 10. SPECIAL PROJECTS COMMITTEE** - There shall be appointed annually by the President a Special Projects Committee to consist of not less than four and not more than six members, at least one of whom is a Junior member. It shall be the duty of the Special Projects Committee to develop special education and fund raising projects during the year as directed by the Board of Directors.
- Section 11. HUNTER/EQUITATION COMMITTEE** - There shall be appointed annually by the President a Hunter/Equitation Committee to consist of at least four Senior members, one of which is Professional, and two Junior members. It shall be the duty of the Hunter/Equitation Committee to discuss issues and concerns of the Hunter and Equitation divisions.
- Section 12. JUMPER COMMITTEE** - There shall be appointed annually by the President a Jumper Committee to consist of at least four Senior members, one of which is Professional. It shall be the duty of the Jumper Committee to discuss issues and concerns of the Jumper division.
- Section 13. COMPUTER TECHNOLOGY COMMITTEE** - There shall be appointed annually by the President and approved by the Board of Directors a Computer Technology Committee to consist of three Senior members. It shall be the duty of this committee to have general overview of NCHJA's computer(s), related equipment, computer programs, and other information processing (i.e., email, web site, etc.) It is the committee's responsibility to advise the Executive Secretary or Association on the procurement or disposal of the above and other office related equipment. For items requiring major expenditures, this committee will present a report to the Board of Directors for approval prior to purchase.
- Section 14. FINANCIAL INVESTMENTS COMMITTEE** - There shall be appointed annually by the President a Financial Investments Committee to consist of three Senior members. It shall be the duty of the Financial Investments Committee to assist the Treasurer in financial matters with respect to banking and investments. They may be asked by the President or the Board of Directors to conduct an

internal audit of the General or Horse Show accounts. They shall also make recommendations to the Board with regard to financial investments.

ARTICLE VIII - PARLIAMENTARY AUTHORITY

The rules contained in Robert's Rules of Order Revised shall govern the Association in all cases in which they are applicable and in which they are not inconsistent with the By-Laws or special rules of order of the Association.

ARTICLE IX - METHOD OF AMENDING

The Board of Directors may amend the By-Laws by a majority vote. The By-Laws may also be amended at any meeting of the General Membership by a two-thirds vote of the members present.

2008 RULES AND REGULATIONS OF THE NORTH CAROLINA HUNTER AND JUMPER ASSOCIATION

All horse shows recognized by The North Carolina Hunter and Jumper Association, Inc. ("NCHJA") and every person participating at the competition including exhibitor, owner, lessee, manager, agent, rider, handler, judge, steward, competition official, or employee is subject to the rules of NCHJA.

In the event a show member of NCHJA is also a regular member competition of United States Equestrian Federation, Inc. ("USEF") and there is a conflict of rules, the rules of USEF shall prevail.

In the event a show member of NCHJA (held within the boundaries of the state of North Carolina) is also a show member of another horse show association other than USEF and there is a conflict of rules, the rules of NCHJA shall prevail.

USEF Zone 3 consists of North Carolina, Virginia, West Virginia, Maryland, Delaware, and District of Columbia. USEF Zone 4 consists of South Carolina, Georgia, Florida, Alabama, Mississippi, and Tennessee.

RULE I - MEMBERSHIP

Membership in NCHJA is a privilege and is offered to those persons who pay their current dues, abide by the rules of NCHJA, and conduct themselves in a manner compatible with the best interests of NCHJA. The membership year is from December 1 through November 30.

PART I - INDIVIDUAL MEMBERS

Section 1. PRIVILEGES - Individual members enjoy privileges not available to non-members. These include:

- (a) The right to attend the Annual Meeting.
- (b) The right to vote in the election of Officers, Directors, and amendments to the By-Laws for Senior members only.
- (c) Eligibility to serve as a show delegate.
- (d) Eligibility to serve as an Officer or Director.
- (e) Eligibility to compete for Year End Awards.
- (f) Eligibility of Junior members to compete in the E.J. Haun Memorial **Equitation Class (NCHJA/USEF shows)**, the NCHJA Equitation on Ponies Class **(NCHJA/USEF shows)**, the **NCHJA Pony Equitation Class (NCHJA "C" shows)**, and the **NCHJA Children's Equitation Class (NCHJA/USEF shows)**, and Senior members in the Bryan Jones Memorial **Equitation Class**

(NCHJA/USEF shows) and the NCHJA Adult Equitation Class (NCHJA "C" shows).

- (g) A free copy of the Rule Book.
 - (h) A free pony measurement card.
- Section 2. TYPES OF MEMBERSHIPS**
- (a) **Senior members** are those persons who are 18 years of age or older on December 1. Their annual dues shall be \$35.00.
 - (b) **Junior members** are those persons who have not reached their 18th birthday on or before December 1. Their annual dues shall be \$25.00.
 - (c) **Farm members** are those entries made in the name of a stable or farm for Year End Awards, provided such farm or stable is registered with NCHJA. All applications for registration must be signed by the owner(s). Their dues shall be \$50.00 for life.
 - (d) **Life members** - \$300.00.

Section 3. APPLICATION FOR MEMBERSHIP - Applications for individual membership shall be made to the Executive Secretary of NCHJA on forms available from the NCHJA Office or Show Secretary. Dues for current year must accompany the application. There will be a \$30.00 charge for dues submitted with payment returned for insufficient funds. Applications shall be promptly recorded by the Executive Secretary who shall issue a membership card to each applicant admitted to the NCHJA.

Section 4. INDEBTEDNESS - Any NCHJA member show that receives insufficient funds from any exhibitor or his representative for any indebtedness shall notify the Executive Secretary of NCHJA in writing. The NCHJA Executive Secretary shall then notify the responsible party in written form by certified mail. The exhibitor shall have 14 days from the date of the certified return receipt to reimburse that show by mailing a postal money order or certified check to the NCHJA Executive Secretary made payable to the respective horse show. Any exhibitor who is indebted to any NCHJA member show shall not be considered in good standing and may not show or participate in any way at an NCHJA member show. Any horses owned by this exhibitor or horses for which insufficient funds were issued shall be barred from showing at any NCHJA member shows. Any points accumulated by such exhibitor and/or horses shall be withheld and not considered for any Year End Awards until such indebtedness is satisfied. The NCHJA and/or the respective horse show involved in such a proceeding shall reserve the right to add any fees allowed by law in the collection of bad debts.

PART II - SHOW MEMBERS

Section 1. RECOGNIZED SHOWS - The term "recognized show" when used herein includes all shows who are members of this Association. Only shows in good standing can be recognized by NCHJA. Recognized shows shall be classified with the rating "AA", "A", "B" or "C" for the purposes of accruing Year End Award points. It is required that all prize lists include the correct rating of the show.

Section 2. CONDUCT AND COMPETITION STANDARDS OF RECOGNIZED COMPETITIONS - Minors who do not have a valid driver's license which allows them to operate a motorized vehicle in the state in which they reside will not be permitted to operate a motorized vehicle of any kind, including, but not limited to, golf carts, motorcycles, scooters, or farm utility vehicles, on the competition grounds of recognized competitions. Minors who have a valid temporary license may operate the above described motorized vehicles as long as they are accompanied by an adult with a valid driver's license. Violations of this rule will be cause for sanctions against the parent(s), guardian(s), and/or trainers who are responsible for the child committing the offense. Wheelchairs and other mobility assistance devices for individuals with disabilities are exempt from this rule.

Dogs are not permitted to be loose on competition grounds and must be on a leash or otherwise contained. Individuals must not lead dogs on a leash while mounted. Dog owners failing to comply with this rule may be subject to penalty.

Section 3. SHOW MEMBERSHIP - Application for any NCHJA/USEF recognized show membership shall be made to the Executive Secretary on the application form provided by NCHJA and must be submitted before November 15th before the new competition year. Dates of established show competitions will not be awarded in subsequent years to other competitions within the distance rules set forth by the USEF, provided the applications for competition dates in the following year are received by the November 15th deadline. Applications for new and established competitions will be accepted starting April 1st of the year preceding the competition dates in question; all applications for competitions received prior to that date will be considered received as of April 1st. Applicants must reapply from year to year. Dues for the show and the name of the show delegate must accompany the application. Show management is encouraged to send a prize list to the entire membership of NCHJA. In a USEF rotation year, all NCHJA "C" shows will rotate in the same manner as USEF "AA" and "A" shows.

If two or more applications are for the same date(s), the distance requirements between the competitions shall be as follows:

- 250 mile radius for NCHJA/USEF competitions with any of the same "A" rated sections, or unrated Jumper sections and classes with total prize money of \$10,000.00 or more.

- 75 mile radius for NCHJA/USEF competitions with "A", "B", or "C" rated sections and NCHJA "C" shows.

- 50 mile radius for NCHJA "C" shows.

If two or more applications for the same date(s) is made by new competitions within the applicable distances specified, the application received first will be approved, provided all other requirements for recognition are met.

Section 4. PRIVILEGES - Recognized shows enjoy privileges not available to other shows including:

- (a) Representation at the Annual Meeting by delegates who have the right to vote on the election of Officers, Directors, and amendments to the By-Laws. Delegates may have one vote per show represented.
- (b) A position on the NCHJA schedule of show dates.
- (c) The assistance of NCHJA's facilities, office, and records.
- (d) Subscription to NCHJA's newsletter.

Section 5. DUES - Annual dues for each Show member shall be as follows:

	<u>NCHJA/USEF Shows</u>	<u>"C" Shows</u>
First day -	\$75.00	\$50.00
Each additional day -	\$25.00	\$25.00

Maximum dues for an "A" or "AA" show is \$150.00. Applications shall not be considered unless accompanied by payment of dues.

Section 6. TERMINATION OF MEMBERSHIP - Any show in good standing may voluntarily resign from membership upon giving 60 days notice, in writing, to the Executive Secretary. Upon such withdrawal any fees paid including the labels, etc. will be forfeited and all delegates appointed by said show shall cease to be delegates. Any show withdrawing within 30 days of scheduled show shall forfeit said fees and shall be fined \$50.00.

Section 7. FINES - Failure by show management to comply with the rules of NCHJA will result in a \$100.00 fine in addition to the specific fines, payable within seven days of receipt of fine. If the fine is not paid, it will be added to the amount of dues for the ensuing year and future competition dates will not be awarded until both penalty and dues have been paid.

If management disputes the rule violation, it may appeal in writing to NCHJA within 30 days of management's receipt of NCHJA's notice of fine, specifying the

grounds for the appeal. A special committee appointed by the President or the Hearing Committee will consider the appeal and may waive a part or all of the fine upon a finding of good cause for the rule violation and/or a finding that extreme hardship results from the penalty.

RULE II - EXHIBITORS, RIDERS, AND TRAINERS

PART I - RESPONSIBILITIES

The responsibilities of exhibitors, riders, and handlers shall be the same as those found in the current USEF Rule Book where applicable. Recognized show participants should be especially cognizant of the following requirements.

- Section 1. RULES** - Knowledge of and compliance with the rules of USEF and NCHJA.
- Section 2. AMATEUR CARD** - At NCHJA "C" shows, a rider showing in amateur classes must possess an amateur card (or copy) issued by USEF, NCHJA, or an affiliated horse show association.
- Section 3. PONY MEASUREMENT CARD** - At NCHJA "C" shows, owners of ponies must present a measurement card, copy of a measurement card, or valid measurement form issued by the USEF or NCHJA. Any pony not holding a current USEF or NCHJA measurement card must be measured prior to showing in any class restricted to ponies. Members without valid documentation may have their ponies measured at an NCHJA "C" show and receive an NCHJA measurement card at no charge; non-members may have their ponies measured for that day for a \$5.00 charge.
- Section 4. FAMILY AND GENDER** - For competition purposes, the term family includes husband, wife, parent, step-parent, child, brother, step-child, sister, half brother and sister, aunt, uncle, niece, nephew, grandmother, grandfather, grandchildren, and in-laws of the same relation as stated above. Whenever in these rules the words he, him, or his are used, unless the context requires otherwise, they shall include she, her, or hers.

PART II - REGULATIONS

- Section 1. SHOWING UNDER JUDGES AND STEWARDS** - The following regulations govern showing under judges and stewards:
- (a) An exhibitor or trainer may not serve as a judge or steward at any competition in which he/she exhibits, whether or not the classes are conducted under NCHJA rules. Except Jumpers per Rule II, Part II, Section1(c).
 - (b) No member of a judge's family, nor any cohabitant, companion, domestic partner, housemate, or member of a judge's household nor any of the judge's clients, employers or employees or employers of a member of the judge's family may

compete as exhibitor, rider, handler, owner, or lessee in any division unless the relationship is terminated 30 days prior to the competition. Except Hunters and Jumpers per Rule II, Part II, Section 1(d) and (e). For purposes of this rule included as employers are any individuals, corporations, partnerships, **limited liability companies**, foundations, trusts or non-profit organizations and shareholders owning five or more percent of the stock of any corporation which employs the judge or a member of the judge's family, and any officers, directors, **members, managers**, and partners of any corporation, partnership, **or limited liability company** and officers, directors or trustees of any trust or foundation or non-profit organization which employs the judge or a member of the judge's family. The hiring of a judge to officiate at Recognized competitions shall not constitute employment for purposes of this rule.

- (c) A judge may not be an owner of any interest in a horse (including but not limited to syndicate and partnership shares except Jumpers per Rule II, Part II, Section 1(d)) nor may he/she be an exhibitor, rider, steward, or manager at any competition at which he/she is officiating, including unrated classes.
- (d) No member of a Jumper judge's family nor a horse in which the Jumper judge has an interest may compete in a class in which that jumper judge is officiating. Notwithstanding the foregoing, such family member or such horse may compete in the Hunter or Equitation divisions or in other Jumper classes at the same competition.
- (e) A member of the family of a Hunter or Hunter Seat Equitation judge or a horse in which that Hunter or Hunter Seat Equitation judge has an interest may compete in Jumper classes at the same competition.
- (f) No judge's trainer nor any of the judge's trainer's clients may compete as exhibitor, rider, handler, owner or lessee in any division or class unless the relationship is terminated 30 days prior to the competition.
- (g) No horses trained by member of the judge's family may compete in any division or Jumper class unless the client/trainer relationship is terminated 30 days prior to the competition. Stud fee and broodmare board excluded.
- (h) No horse that has been sold by a judge or by his/her employer within a period of three months prior to the competition may be shown before that judge.
- (i) No horse that has been trained by a judge within the period of 30 days prior to the competition may be shown before that judge.
- (j) No one may show before a judge who has received or has contracted to receive any remuneration for the sale, purchase, or lease of any horse to or from, or for the account of the exhibitor within a period of 30 days prior to the competition unless the sale or purchase has been made and fully consummated at public auction.

- (k) No one may show before a judge who boards, shows, or trains any horse under the exhibitor's ownership or lease, within a period of 30 days prior to the competition. Stud fees and broodmare board excluded.
- (l) No one may show before a judge who has remunerated the exhibitor for the board or training of any horse for horse show purposes within a period of 30 days prior to the competition. Stud fees and broodmare board excluded.
- (m) No one may show before a judge from whom he has leased a horse unless the lease terminated 90 days prior to the competition.
- (n) No rider may compete in an Equitation class before a judge with whom his or her parent, guardian, or instructor has had any financial transaction in connection with the sale, lease, board, or training of a horse within 30 days of the competition unless the sale or purchase has been made at public auction.
- (o) No rider may compete in an Equitation class before a judge by whom he has been instructed, coached, or tutored with or without pay within 30 days of the day of the competition. The conducting of clinics or assistance in group activities such as Pony Clubs, unless private instruction is given, will not be considered as instruction, coaching, or tutoring.
- (p) No one shall approach a judge with regard to a decision unless he first obtains permission from show management or the steward who shall arrange an appointment with the judge at a proper time and place. No exhibitor has the right to inspect the judge's cards without the judge's permission.
- (q) No member of a steward's family, nor any cohabitant, companion, domestic partner, housemate, or member of a judge's household nor any of the steward's clients may take part as an exhibitor or rider at a competition where the steward is officiating. Stewards may not officiate unless the client relationship is terminated 30 days prior to the competition.
- (r) A steward cannot own or operate any business (i.e., tack shop, braiding business, etc.) at the same competition where he/she is officiating.
- (s) If a horse is presented to a judge by a person the judge knows is ineligible to compete under these rules, the judge may advise the steward that he/she believes the entry to be ineligible and request that the entry be excused, or the judge may proceed to judge the entry and file a charge with the Hearing Committee alleging a violation of this rule. If a judge has any doubt as to the eligibility of any entry, he/she should judge the entry and file a charge alleging the violation.
- (t) No mounted exhibitor may wear or carry an electronic communication device (i.e., cellular telephone, pager, walkie talkie, etc.) while in the competition ring. The penalty for wearing or carrying a forbidden device if observed by the judge

may be elimination from the class during which the device was worn or carried. Exception: a handicapped participant may use electronic devices if, prior to the class, he/she presents to the steward written justification from treating personnel setting forth the necessity of the equipment.

Section 2. AMATEUR CLASSIFICATION - To not engage in any of the following activities if claiming an amateur classification:

- (a) Accepts remuneration for riding, showing, training, schooling or conducting clinics or seminars.
- (b) Accepts remuneration for giving instructions in equitation or horse training. (Excludes summer camp counselors not hired in the exclusive capacity of riding instructors and persons giving instruction and training to the handicapped.)
- (c) Accepts remuneration for employment in other capacity (e.g., secretary, bookkeeper, veterinarian, groom, farrier) and gives instruction, rides, shows in hand, trains, or schools horses, other than horses actually owned or leased by him/her, when his/her employer or a member of the family of said employer or a corporation which a member of his/her family controls, owns, boards, or trains said horses.
- (d) Accepts remuneration for the use of his or her name, photograph, or other form of personal association as a horseman in connection with any advertisement or article to be sold.
- (e) Accepts prize money in equitation or showmanship classes. Prize money may be accepted by amateur riders in Dressage.
- (f) Rides or shows in hand in competitions, any horse for which he/she or a member of his/her family or a corporation which a member of his/her family controls, receives remuneration for boarding, training, riding, or showing in hand.
- (g) Gives instruction to any person or rides or shows in hand in competitions any horse, for which activity another person in his/her family or corporation which a member of his/her family controls will receive remuneration for the activity.
- (h) Accepts remuneration for selling horses/ponies, acts as a paid agent in the sale of horse/ponies or takes horses/ponies on consignment for the purpose of sale or training other than those owned wholly or in part by him/her or a member of his/her family or farm/ranch/syndicate/partnership/corporation/**limited liability company** which he/she or a member of his/her family controls.
- (i) The following activities do not affect the amateur status of a person who is otherwise qualified: writing books or articles pertaining to horses; accepting remuneration for officiating as a judge, steward, course designer, announcer, or TV commentator; accepting bona fide remuneration for services as a veterinarian,

groom, farrier, tack shop operator, or breeder, accepting bona fide remuneration for boarding services; accepting reimbursement for expenses without profit; accepting a token of appreciation of less than \$300.00 in value, other than money, for riding or showing in hand; having the occupation of veterinarian, groom, farrier, or owning a tack shop or breeding or boarding stable.

- (i) In the event a person is found to be a professional as a result of a protest or charge made in connection with a competition, all awards won by such person in amateur classes at such competition and subsequent competitions shall be forfeited and returned to the competition and the person shall be subject to further disciplinary action. The holding of an amateur card does not preclude the question of amateur standing being raised by a protest or charge.

RULE III - OFFICIALS AND SHOW MANAGEMENT

The duties of show officials shall be the same as those found in the current USEF Rule Book where applicable. Show management should be especially cognizant of the following duties:

1. Appoint and identify in the prize list one or more licensed USEF or NCHJA Stewards who shall be present at each session of the competition. USEF Stewards automatically receive NCHJA approval; however, for NCHJA "C" shows, the steward must be a current NCHJA member.
2. Furnish prize lists to any member requesting a prize list but not on the show's mailing list.
3. Qualified medical personnel with no other duties and suitable medical equipment must be present during all scheduled performances. Qualified medical personnel is defined as a person who is currently certified or licensed in their profession and trained in pre-hospital trauma care. This person must be identified and the area where this person is available must be designated and readily identifiable. An ambulance must be on the grounds or on call. A fine of \$75.00 per day will be imposed on recognized competitions failing to comply with this rule.
4. See that all animals requiring measurement are measured according to USEF rules. All competitions having Hunter Pony classes are responsible for providing an acceptable measurement stick and a suitable surface for measurements. An approved measurement stick is a straight, stiff, unbendable stick that is equipped with a plumb bob or spirit level to make sure the standard is perpendicular to the ground and that the cross piece or arm is parallel with the ground surface, and must be shod with metal.
5. See that all exhibitors showing in amateur classes are in possession of a valid amateur card (or copy of card.)
6. Apply annually to NCHJA for a position on the calendar of shows as described in Rule 1, Part II, Section 2.
7. Appoint a delegate to the Annual Meeting.

8. File a copy of the prize list with NCHJA two weeks prior to the show. If a horse show has several shows with the same prize list, one copy is sufficient. Failure to do so will result in the show being fined \$50.00. If the fine is not paid, it will be added to the amount of dues for the ensuing year and future competition dates will not be awarded until both penalty and dues have been paid. NCHJA "C" shows are not required to print all class specifications in their prize lists, but must include a statement which reads: "All classes to be run in accordance with NCHJA rules." If officials (judges or stewards) change, the NCHJA Office must be notified immediately.

If management disputes that the prize list was not timely filed, it may appeal in writing to NCHJA within 30 days of management's receipt of NCHJA's notice of fine, specifying the ground for the appeal. A special committee appointed by the President or the Hearing Committee will consider the appeal and may waive a part or all of the fine upon a finding of good cause why the application was not timely filed and/or a finding that extreme hardship results from the automatic penalty.⁹ Forward to NCHJA within 10 days of completion of the show an NCHJA class results form or computer printout indicating the winners, number of entries in each class, champions and reserves, the names of all horses and owners and all corrections, changes, and additions to the prize list. In the event of failure to file such results, NCHJA shall levy a fine of \$50.00. If the fine is not paid, it will be added to the amount of dues for the ensuing year and future competition dates will not be awarded until both penalty and dues have been paid.

If management disputes that the results were not timely filed, it may appeal in writing to NCHJA within 30 days of management's receipt of NCHJA's notice of fine, specifying the grounds for the appeal. A special committee appointed by the President or the Hearing Committee will consider the appeal and may waive a part or all of the fine upon a finding of good cause why the application was not timely filed and/or a finding that extreme hardship results from the automatic penalty.

10. The name of any exhibitor whose fees are not negotiable must be reported in writing to NCHJA within 90 days of the close of the competition.
11. Any NCHJA/USEF recognized horse show held in the state of North Carolina must offer the E.J. Haun Memorial **Equitation** Class, the NCHJA Equitation on Ponies Class, and the Bryan Jones Memorial **Equitation** Class. The E.J. Haun Memorial **Equitation** Class, the NCHJA Equitation on Ponies Class, and the Bryan Jones Memorial **Equitation** Class may only be offered at NCHJA/USEF recognized shows.

Any NCHJA "C" horse show held in the state of North Carolina must offer the NCHJA Children's Equitation Class, the NCHJA Adult Equitation Class, and the NCHJA Pony Equitation Class. The NCHJA Children's Equitation Class, the NCHJA Adult Equitation Class, and the NCHJA Pony Equitation Class may only be offered at NCHJA "C" shows.

12. Any NCHJA "C" show must offer all of the Hunter sections in which NCHJA gives a Year End Award.

13. Any show offering classes which are restricted to NCHJA members only must provide entries' NCHJA membership numbers on the class results turned in to the NCHJA Office. The show will obtain affidavits from NCHJA members who cannot provide a current membership card or copy of a current membership card.
14. The prize list shall contain an NCHJA Application for Membership. A copy is included in the Rule Book.
15. Once a prize list offering hunter sections has been printed and distributed, rated hunter sections may not be added.
16. Management may not require a judge to score more than three classes concurrently.

RULE IV - JUDGES

The duties and responsibilities of a judge shall be the same as those found in USEF rules. Judges for NCHJA "C" shows must either possess a valid judge's card from USEF or be approved by the NCHJA Licensed Officials Committee ("LOC"). Those approved by the NCHJA LOC must be current Senior members of NCHJA and in good standing. Applicants for a NCHJA "C" show judges card are required to do the following:

1. Provide the names of three qualified sponsors, one of whom is a USEF licensed official.
2. Learner judge at a NCHJA "C" show for at least three full days with at least two different licensed NCHJA or USEF judges.
3. Fill out and submit the NCHJA judges application form, the completed Learner Judge forms, the three qualified sponsor names, and a \$15.00 application fee to the NCHJA Office. Forms are available from the NCHJA Office.

NCHJA Judges who have been approved by the LOC will be provided cards indicating that they are licensed to judge. Judges must officiate at least once in three years to retain the judges card.

Any member who holds a USEF "R" or "r" rating automatically will be added to the approved list of NCHJA "C" show judges.

RULE V - STEWARDS

The duties and responsibilities of a steward shall be the same as those found in USEF rules. In addition, the steward shall forward to NCHJA a written report of the conduct of the show including any offense or violation of the rules within three days after its completion on the form provided by NCHJA. A steward must have in their possession current copies of the NCHJA and USEF Rule books when officiating. A judge in possession of a rating with USEF may officiate as steward, but not steward and judge at the same show. Stewards for NCHJA "C" shows must either possess a valid judges card or stewards card from the USEF or be approved by the NCHJA LOC. Those approved by the NCHJA LOC must be current Senior members in good standing. Applicants for a NCHJA stewards card are required to do the following:

1. Learner steward at NCHJA "C" shows for at least 3 full days with at least two different licensed NCHJA or USEF stewards.
2. Fill out and submit the NCHJA stewards application form, the completed learner steward forms and a \$15.00 application fee to the NCHJA Office. Forms are available from the NCHJA Office.

NCHJA "C" stewards who have been approved by the NCHJA LOC will be provided cards indicating that they are licensed to judge. Stewards must officiate at least once in three years to retain the stewards card.

Any member who holds a USEF Stewards rating automatically will be added to the approved list of NCHJA "C" show stewards.

A member of the Board of Directors may serve as a steward for a NCHJA "C" show.

RULE VI - RULE AMENDMENTS

General rule changes will be effective on December 1 unless otherwise noted. Rule changes affecting the E.J. Haun Memorial **Equitation** Class, the NCHJA Equitation on Ponies Class, the Bryan Jones Memorial **Equitation** Class, the NCHJA Pony Equitation Class, the NCHJA Children's Equitation Class, and the NCHJA Adult Equitation Class will be effective on June 1. Any committee may refer any matter to the NCHJA Board of Directors for adjudication. Rule change proposals must be submitted to the Rules Committee in writing prior to July 15 in order to be considered by the Board of Directors for a December 1 effective date. Rule change proposals affecting the E.J. Haun Memorial **Equitation** Class, the NCHJA Equitation on Ponies Class, the Bryan Jones Memorial **Equitation** Class, the NCHJA Pony Equitation Class, the NCHJA Children's Equitation Class, and the NCHJA Adult Equitation Class must be submitted in writing prior to January 15 for a June 1 effective date.

RULE VII - EQUITATION DIVISION

PART I - APPOINTMENTS

Section 1. PERSONAL - Exhibitors and judges should bear in mind that at all times entries are being judged on ability rather than on personal attire. Riders should wear coats of any tweed or melton for hunting (conservative wash jackets in season), breeches or jodhpurs, and boots. Conservative colored protective headgear is mandatory. Spurs, crops, or bats are optional. Judges may penalize riders who do not conform. When management permits Hunter or Hunter Seat Equitation riders to ride without jackets, riders must wear traditional, short, or long-sleeved riding shirts with chokers or ties. Polo shirts and chaps are not permitted except in unjudged warm-up classes. Management or judge may eliminate an exhibitor who is inappropriately attired.

Section 2. **TACK** - Regulation snaffles, pelhams, and full bridles, all with cavesson nose bands, are recommended. A judge at his own discretion can penalize a horse with non conventional types of bits or nose bands. Boots and conservative colored bandages are permitted. Type of saddle is optional. Martingales are permitted in classes over obstacles and in the jumping phase of classes requiring both jumping and flat work. They are prohibited on the flat in any class or phase. Any change of equipment during a class can be penalized at the discretion of the judge. (Note: adding or taking off a martingale from one phase to another is not considered a change.) Numbers must be worn on the rider's back and must be clearly visible at all times when in competition.

Section 3. **BRAIDING** - Braiding is optional at NCHJA "C" shows.

PART II - CLASS ROUTINE

Section 1. **GENERAL** - Any rider not having his mount under sufficient control will be dismissed from the ring and disqualified from class. Unsoundness does not penalize a competitor unless it is sufficiently severe to impair the required performance. In such cases, the imposition of a penalty is at the judge's discretion. Horses may not be ridden by more than one rider per class. Any rider competing with their stirrups tied to the girth and/or secured in any like manner will be eliminated by the officiating judge.

Section 2. **OVER OBSTACLES** - The performance begins when the horse enters the ring. Except for refusals, jumping faults of the horse are not to be considered unless it is the result of the rider's ability. The following will result in elimination: (a) fall of horse and/or rider; (b) three cumulative refusals; and (c) off course. An eliminated competitor may make one attempt to jump an additional single obstacle but may not continue thereafter. The following constitute major faults and can be cause for elimination: (a) a refusal; (b) loss of stirrup; (c) trotting while on course when not part of a test; and (d) loss of reins. Each competitor may circle once before approaching the first obstacle. He then proceeds around the course keeping an even pace throughout. If a refusal occurs in a double or triple, competitors must re-jump all obstacles in the combination.

Section 3. **NOT TO JUMP** - Riders enter the ring turning to the right and proceed counterclockwise. All riders shall be worked both ways of the ring at all required gaits as directed by the judge. The order to reverse can be executed by turning either toward or away from the rail. Light contact with the horse's mouth is required. Entries then line up on command. Outside assistance will be penalized at the judge's discretion. In cases of broken equipment or loss of shoe, the competitor must continue or be eliminated.

PART III - TESTS FROM WHICH JUDGES MUST CHOOSE

Tests may be performed either collectively or individually but no other tests may be used. Instructions must be publicly announced. If exhibitors are called back collectively to test, they must remain in the ring until all exhibitors have completed the test.

1. Halt (4 to 6 seconds) and/or back.
2. Hand gallop.
3. Figure eight at trot, demonstrating change of diagonals. At left diagonal, rider should be sitting the saddle when left front leg is on the ground; at right diagonal, rider should be sitting the saddle when right front leg is on the ground; when circling clockwise at a trot, rider should be on left diagonal; when circling counterclockwise, rider should be on the right diagonal.
4. Figure eight at canter on correct lead, demonstrating simple change of lead. This is a change whereby the horse is brought back into a walk or trot and restarted into a canter on the opposite lead. Figures to be commenced in center of two circles so that one change of lead is shown.
5. Work collectively at a walk, trot, or canter.
6. Jump low obstacles at a trot as well as at a canter. The maximum height and spread for a trot jump is 3' for horses, 2' for ponies.
7. Jump obstacles on figure eight course.
8. Question(s) regarding basic horsemanship, tack and equipment, and conformation.
9. Ride without stirrups, riders must be allowed option to cross stirrups.
10. Jump low obstacles at a walk as well as at a canter. The maximum height and spread for a walk jump is 2'.
11. Dismount and mount. Individually.
12. Turn on the forehand.
13. Figure eight at canter on correct lead demonstrating flying change of lead.
14. Execute serpentine at a trot and/or canter on correct lead demonstrating simple or flying changes of lead.
15. Change leads on a line demonstrating a simple or flying change of lead.

16. Change horses. (Note: this test is the equivalent of two tests.) No rider can be asked to perform a test on another horse before he has performed the same test on his own.
17. Canter on counter lead. (Note: no more than 12 horses may counter canter at one time.)
18. Turn on the haunches from the walk.
19. Demonstration ride of approximately one minute. Rider must advise judge beforehand what ride he plans to demonstrate.

RULE VIII - AGE EQUITATION

PART 1 - FENCE HEIGHTS

The fence heights for the age equitation sections shall be as follows:

1. 11 and under: fences 2'3" - 2'6" and no combinations
2. 12 through 14: fences 2'9" - 3'0"
3. 15 through 17: fences 3'3" - 3'6"

PART II - COMBINING 12 -14 AND 15 -17 AGE EQUITATION SECTION CLASSES

Classes for Equitation 12-14 and 15-17 may be combined if there are less than three entries in either section. In equitation over fences classes, riders must compete at the correct fence height for their age. A combination may be included in the course at the discretion of show management.

RULE IX - E. J. HAUN MEMORIAL EQUITATION CLASS *NCHJA/USEF RATED COMPETITIONS ONLY*

PART 1 - CONDITIONS GOVERNING

NCHJA offers to shows the E.J. Haun Memorial **Equitation** competition open only to individual Junior members. Application for membership must be made directly to NCHJA or at a horse show BEFORE entering the class.

1. The prize list must use the exact specifications as shown in Part II.
2. The course must be approved by the judge and steward.
3. Shows offering the E.J. Haun Memorial **Equitation** Class will make a complete report to NCHJA. Names and addresses of all ribbon winners and the number of entries in the class must be included and a copy of the class sheet must be sent to the NCHJA Office.
4. Any question or dispute in connection with the E.J. Haun Memorial **Equitation** Class competition shall be referred to the Executive Committee whose verdict shall be final.

5. A fine of \$50.00 has been designated for failure to comply with the rules of the E.J. Haun Memorial **Equitation** Class. Second offense will be \$100.00. Continuing offenses will be brought before the Hearing Committee.

PART II - CLASS SPECIFICATIONS

E.J. Haun Memorial **Equitation** Class - Open to Junior members of NCHJA. Must be a member before entering the Class. To be shown over a hunter type course of not less than eight fences 3'6" in height and one-third of the obstacles must be oxers. The course must include at least one change of lead and two of the following: bending line, roll-back turn, or long approach to a single jump. Only one rider per horse. Four competitors to fill the class. Three competitors must complete the course. 25% or a minimum of three, whichever is greater, shall be required to show both ways of the ring; being judged on seat, hands, guidance, and control of the mount. The flat phase of selected riders shall count 50%. Judges may ask riders to perform USEF tests 1- 19.

Riders in the E.J. Haun Memorial **Equitation** Class are not eligible for the NCHJA Equitation on Ponies Class at the same show.

PART III - E.J. HAUN FINALS SPECIFICATIONS

Section 1. E.J. HAUN MEMORIAL EQUITATION FINALS - The top 15 Junior members who have accumulated points from June 1 through May 31 in the E.J. Haun Memorial **Equitation** Class **and who choose to compete in the Finals** will be eligible for the Finals. To be shown over a hunter type course of not less than eight fences 3'6" in height including two combinations, two oxers, and two changes of direction. Any additional fences, not to exceed four, shall require one additional oxer. All riders are required to show at a walk, trot, and canter both ways of the ring. The flat phase shall count 50%. Two or more tests (USEF 1- 19) of at least the top four riders are required. Class to be judged on seat, hands, guidance, and control of the mount throughout. Only one rider per horse. **Riders competing in the E.J. Haun Memorial Equitation Finals cannot enter the the NCHJA Equitation on Ponies Finals, the NCHJA Children's Equitation Finals, or the NCHJA Pony Equitation Finals** in the same year.

Section 2. NAMES AND ADDRESSES of previous winners should be made available to show management for printing in the Annual Show's prize list and program. One page of the prize list and program should be devoted to the class specifications and the previous winners.

Section 3. THE JUDGE - Both of the "R" judges who are hired to judge the NCHJA Annual Benefit Show must judge the E.J. Haun Memorial **Equitation** Finals.

Section 4. COMPETITORS - **The top 15 Junior members who have accumulated points from June 1 through May 31 in the E.J. Haun Memorial Equitation Class will be asked to compete in the Finals. If anyone in the top 15 chooses not to compete in the Finals, the NCHJA will ask additional competitors,**

based on points earned, to compete in the Finals with the goal being 15 competitors in the Finals.

**RULE X - NCHJA EQUITATION ON PONIES CLASS
NCHJA/USEF RATED COMPETITIONS ONLY**

PART I - CLASS SPECIFICATIONS

Open to Junior members of NCHJA. Must be a member before entering the Class. To be shown over a hunter type course of not less than eight fences and one-third of the obstacles must be oxers. The course must include at least one change of lead and two of the following: bending line, roll-back turn, or long approach to a single jump. Ponies not exceeding 12.2 hands to jump 2'3", ponies over 12.2 and not exceeding 13.2 to jump 2'6", and ponies over 13.2 and not exceeding 14.2 to jump 3'. Only one rider per pony. Four competitors to fill the class. Three competitors must complete the course. The judge must select as many riders as he wishes to show at a walk, trot, and canter both ways of the ring; being judged on seat, hands, guidance, and control of the mount. The flat phase of selected riders shall count 50%.

Riders in the NCHJA Equitation on Ponies Class are not eligible for the E.J. Haun Memorial **Equitation** Class at the same show.

PART II - NCHJA EQUITATION ON PONIES FINALS SPECIFICATIONS

Section 1. NCHJA EQUITATION ON PONIES FINALS - The top 15 Junior members who have accumulated points from June 1 through May 31 in the NCHJA Equitation on Ponies Class **and who choose to compete in the Finals** will be eligible for the Finals. To be shown over a hunter type course of not less than eight fences including two combinations, two oxers, and two changes of direction. Ponies not exceeding 12.2 hands to jump 2'3", ponies over 12.2 and not exceeding 13.2 to jump 2'6", and ponies over 13.2 and not exceeding 14.2 to jump 3'. All riders are required to show at a walk, trot, and canter both ways of the ring; being judged on seat, hands, guidance, and control of the mount. The flat phase shall count 50%. Judges may ask riders to perform USEF tests 1-9. Only one rider per pony. Riders **competing in the NCHJA Equitation on Ponies Finals** cannot enter the E.J. Haun Memorial **Equitation Finals, the NCHJA Pony Equitation Finals, or the NCHJA Children's Equitation Finals** in the same year.

Section 2. NAMES AND ADDRESSES of previous winners should be made available to show management for printing in the Annual Show's prize list and program. One page of the prize list and program should be devoted to the class specifications and the previous winners.

Section 3. COMPETITORS - The top 15 Junior members who have accumulated points from June 1 through May 31 in the NCHJA Equitation on Ponies Class will be asked to compete in the Finals. If anyone in the top 15 chooses not to compete in the Finals, the NCHJA will ask additional competitors,

based on points earned, to compete in the Finals with the goal being 15 competitors in the Finals.

**RULE XI - BRYAN JONES MEMORIAL EQUITATION
NCHJA/USEF RATED COMPETITIONS ONLY**

PART I - CLASS SPECIFICATIONS

Open to amateur Senior members of NCHJA. Must be a member before entering the Class. To be shown over a hunter type course of not less than eight fences 3' in height and one-third of the obstacles must be oxers. The course must include at least one change of lead and two of the following: bending line, roll-back turn, or long approach to a single jump. Only one rider per horse. Four competitors to fill the class. Three competitors must complete the course. 25% or a minimum of three, whichever is greater, shall be invited to show both ways of the ring; being judged on seat, hands, guidance, and control of the mount. The flat phase of selected riders shall count 50%. Judges may ask riders to perform USEF tests 1-19. Course must be approved by the judge and steward.

PART II - BRYAN JONES MEMORIAL EQUITATION FINALS SPECIFICATIONS

- Section 1. BRYAN JONES MEMORIAL EQUITATION FINALS** - The top 15 **amateur Senior members** who have accumulated points from June 1 through May 31 in the Bryan Jones Memorial **Equitation Class and who choose to compete in the Finals** will be eligible for the Finals. To be shown over a hunter type course of not less than eight fences 3' in height including two combinations, two oxers, and two changes of direction. Any additional fences, not to exceed four, shall require one additional oxer. All riders are required to show at a walk, trot, and canter both ways of the ring. The flat phase shall count 50%. Two or more tests (USEF 1-19) of at least the top four riders are required. Class to be judged on seat, hands, guidance, and control of the mount throughout. Only one rider per horse. **Riders competing in the Bryan Jones Memorial Equitation Finals cannot enter the NCHJA Adult Equitation Finals in the same year.**
- Section 2. NAMES AND ADDRESSES** of previous winners should be made available to show management for printing in the Annual Show's prize list and program. One page of the prize list and program should be devoted to the class specifications and the previous winners.
- Section 3. COMPETITORS** - The top 15 riders who have accumulated points from June 1 through May 31 in the Bryan Jones Memorial Equitation Class will be asked to compete in the Finals. If anyone in the top 15 chooses not to compete in the Finals, NCHJA will ask additional competitors, based on points earned, to compete in the Finals with the goal being 15 competitors in the Finals.

RULE XII - HUNTER DIVISION

PART I - GENERAL ELIGIBILITY

The Hunter Division is open to horses, stallions, mares, or geldings. Amateur adults may ride ponies in hunter classes where fences do not exceed 3'3"; however, at USEF rated shows, ponies cannot be cross entered into the rated Regular, Green Pony, and Children's Hunter Pony sections at the same competition. Stallions are prohibited in the Short Stirrup Hunter, Pony Hunter, Junior Hunter, Children's Hunter, and all hunter equitation divisions and classes. Any rider competing with their stirrups tied to the girth and/or secured in any like manner will automatically be eliminated from the entire competition. The steward will note the trainer name(s) on the steward's report and further disciplinary action may be taken.

PART II - GREEN ELIGIBILITY

A Green Hunter is a horse of any age in its first or second year of showing in any classes that require horses to jump 3'6" or higher at USEF regular member competitions or Eventing Competitions of USEF or the Canadian Equestrian Federation or any national or international competition. When shown in a Green section a horse in its first year of showing over 3'6" or higher must be shown as a First Year Green horse and a horse in its second year of showing over fences 3'6" or higher must be shown as a Second Year Green horse. A horse's green status is considered to be broken once it competes over fences 3'6" or higher. The horse's green status may be reinstated for a future competition year if it was not shown at that height at more than three (3) competitions prior to May 15th and if it was not shown at that height after May 15th in the same year. In order to maintain green status for the next competition year, the horse must stop competing over fences 3'6" or higher for the remainder of that competition year and the owner must notify the NCHJA Office by letter prior to December 1 of that competition year, giving the horse's name and recording number. If the horse is not recorded, it must be recorded at that time. Failure to do so will result in the horse losing its green status. A Green Reinstatement will only be granted one time for first year and one time for second year.

PART III - COURSES

- Section 1.** **GENERAL** - Fifty percent or more of the obstacles must be at least the required height and none be more than 2" over or under. Horses must be shown over a minimum of eight obstacles the required height in all rated sections.
- Section 2.** **ALTERATIONS** - Except in case of inclement weather, broken equipment, or similar emergency a course must not be altered except by written permission of all exhibitors. If one or more original obstacles are rendered unusable during a class and no duplicate exists, management may substitute obstacles which approximate as nearly as possible the originals.
- Section 3.** **VIOLATIONS** - In classes where NCHJA course requirements have not been met and the violation has not been observed and corrected prior to the third competitor's performance, the class must be completed without altering the course and the results will stand for the purposes of the Championship. With the

exception of a course set at an improper height, competitors who have gone over the course with the violation have the option of re-jumping the course. Classes conducted over improper courses are ineligible for NCHJA Year End Award points.

Section 4. DIAGRAMS - Course diagrams must be posted at least one hour before scheduled time of class unless they have been illustrated in the prize list. The plan or diagram of the course must show the obstacles which must be taken in the order indicated by numbers but apart from this the rider is not bound to follow a compulsory track. An arrow is used on the diagram to indicate the direction in which each obstacle is to be taken. When distances between fences are 90' or less from base to base, the distance must be included on the posted course diagram.

Section 5. TYPE - Obstacles must simulate those found in the hunting field such as natural post and rail, brush, stone wall, white board fence or gate, chicken coop, aiken, hedge, oxer, etc. Every course must have at least four different type obstacles. All obstacles must be 20' wide or have wings at least 24" wide that are at least 12" higher than the obstacle. A 6" difference is recommended for the back element of an obstacle. There must be a minimum difference of 3". A ground line is recommended for all obstacles. The top element of all obstacles must be securely placed so that a slight rub will not cause a knockdown and must be equally secure for different heights in the same class. An in and out is considered as two obstacles. It must never be used at the start of a course.

Section 6. DISTANCES BETWEEN FENCES - The table below is provided as a guideline. Distance is measured from the top rail of a vertical and from the middle of the spread of an oxer. Distances may be adjusted based on ring and footing conditions.

Fence Height and Stride Length	Horse 3' Fence 12' Stride	Horse 3'6" Fence 12'3" Stride	Horse 3'6" Fence 12'6" Stride	Horse 3'6" Fence 12'9" Stride
# of Strides				
1	25'6"	26'	26'	26'
2	36'6"	37'	37'	37'
3	48'	48'9"	49'6"	50'3"
4	60'	61'	62'	63'
5	72'	73'3"	74'6"	75'9"
6	84'	85'6"	87'	88'6"
7	96'	97'9"	99'6"	101'3"

Pony Size and Stride Length	Small 9'9" Stride	Small 10' Stride	Small 10'3" Stride	Medium 10'3" Stride	Medium 10'6" Stride	Medium 10'9" Stride
# of Strides	Steady	Normal	Flowing	Steady	Normal	Flowing
1	19'-20'	19'-20'	19'-20'	21'-22'	21'-22'	21'-22'
2	29'-30'	29'-30'	29'-30'	31'6"-32'	31'6"-32'	31'6"-32"
3	39'	40'	41'	41'	42'	43'
4	48'9"	50'	51'3"	51'3"	52'6"	53'9"
5	58'6"	60'	61'6"	61'6"	63'	64'6"
6	68'3"	70'	71'9"	71'9"	73'6"	75'3"
7	78'	80'	82'	82'	84'	86'

Pony Size and Stride Length	Large 11' Stride	Large 11'3" Stride	Large 11'6" Stride	Large 11'9" Stride
# of Strides	Steady	Normal	Flowing	Forward
1	23'-24'	23'-24'	23'-24'	23'-24'
2	34'-34'6"	34'-34'6"	34'-34'6"	34'-34'6"
3	44'	45'	46'	47'
4	55'	56'3"	57'6"	58'
5	66'	67'6"	69'	70'6"
6	77'	78'9"	80'6"	82'3"
7	88'	90'	92'	94'

PART IV - ATTIRE, TACK AND EQUIPMENT

- Section 1.** **TACK** - Regulation snaffles, pelhams, and full bridles, all with cavesson nose bands, are recommended. A judge may penalize for non-conventional types of bits or nosebands. Competitors may be refused an award unless they return to the ring for soundness with the same complete bridle in which they have performed. Martingales of any type are prohibited in under saddle classes.
- Section 2.** **EQUIPMENT** - Light pads and bar shoes are permissible. Boots, wraps, and bandages are prohibited. In the case of inclement weather competition management may permit the use of bell boots.
- Section 3.** **DRESS** - It is the tradition of the show ring that riders be correctly attired for the class in question. When management permits Hunter or Hunter Seat Equitation riders to ride without jackets, riders must wear traditional, short, or long-sleeved riding shirts with chokers or ties. Polo shirts and chaps are not permitted except in unjudged warm-up classes. Management or judge may eliminate an exhibitor who is inappropriately attired.

- Section 4. HEADGEAR** - It is compulsory for riders in all Hunter, Jumper, and Hunter Seat Equitation sections, where jumping is required and when jumping anywhere on the competition grounds to wear properly fastened protective headgear which meets or exceeds current applicable ASTM (American Society for Testing and Materials)/SEI (Safety Equipment Institute) standards for equestrian use and carries the SEI tag. It must be properly fitted with harness secured. A Show Committee must bar riders without protective headgear from entering the ring for classes in which protective headgear is required and may bar any entry or person from entering the ring if not suitably presented to appear before an audience.
- Section 5. JUNIOR HEADGEAR** - All junior riders riding in Hunter, Jumper, and Hunter Seat Equitation sections, while riding anywhere on the competition grounds, must wear properly fitting protective headgear which meets or exceeds current applicable ASTM (American Society for Testing and Materials)/SEI (Safety Equipment Institute) standards for equestrian use and carries the SEI tag. Harness must be secured and properly fitted. Any rider violating this rule at any time must immediately be prohibited from further riding until such headgear is properly in place. It is the responsibility of the rider, or the parent or guardian or trainer of the junior exhibitor to see to it that the headgear worn complies with appropriate safety standards for protective headgear intended for equestrian use, and is properly fitted and in good condition.
- Section 6. BOOTS/SHOES** - Boots/shoes worn while riding anywhere on the competition grounds must have a distinguishable heel.
- Section 7. NUMBERS** - Competitors must display the correct number which must be clearly visible while performing in any class unless otherwise stated in the prize list. Competitors may be penalized at the discretion of the judge.
- Section 8. BRAIDING** - Braiding is optional at NCHJA "C" shows.

PART V - JUDGING

- Section 1. GENERAL** - All classes must be judged on performance and soundness and when indicated, suitability or manners.
- (a) When a horse makes two faults at one obstacle, only the major fault will be counted. (Exception: refusals count in addition.)
 - (b) When an obstacle is composed of several elements in the same vertical plane, a fault at the top element is the only one penalized.
 - (c) At a combination, the faults committed at each obstacle are considered separately. In case of a refusal or runout at one obstacle in a combination, the competitor may re-jump the previous as well as the following obstacles.

- (d) Judges must penalize unsafe jumping and bad form over fences, whether touched or untouched. In classics and when a class is held on an outside course, brilliance should be emphasized.
- (e) Circling once upon entering the ring and once upon leaving is permissible. When the class is held in a ring, the performance starts as the competitor enters and ends when he leaves. When the class is held outside, the performance starts at any spot designated by competition management and ends at any spot so designated. If the start and finish are not clearly set forth, it is suggested that a judge consider the start approximately 50' before the first obstacle and the finish approximately 50' after the last.
- (f) All horses must be serviceably sound. All horses being considered for an award must be jogged for soundness with the rider dismounted. (Exception: Under Saddle classes, unrated classes, and rated classes specifying that jogging is not required.) Horses that are not serviceably sound are ineligible for an award, including Under Saddle classes.
- (g) In the event of elimination, the horse must exit the ring immediately.

Section 2. FAULTS - The following faults are scored according to the judge's opinion, and depending on severity, may be considered minor or major faults.

(a) **Minor or Major Faults:**

Showing an obstacle to a horse.
 Missing a lead change.
 Kicking out.
 Spooking.
 Jumping out of form.
 Jumping into corners of obstacles.

(b) **Major Faults:**

Knock down of any part of an obstacle.
 Refusals.
 Trotting while on course when it is not specified.
 Bucking.
 Stopping for a loss of shoe or broken equipment.
 Circling while on course.
 Dangerous jumping.

(c) **Elimination:**

Three refusals.
 Off course.
 Jumping a fence before it is reset.

Bolting from the ring.
Fall of horse or rider.

(d) **The following may or may not be considered as faults, depending on their severity and frequency:**

Light rubs.
Swapping leads in a line.
Late lead changes.
Excessive show of animation.
Adding or eliminating a stride in a line.

Section 3. **UNDER SADDLE CLASSES** - Horses are to be shown at a walk, trot, and canter both ways of the ring. Light contact with the horse's mouth is required. Horses should be obedient, alert, responsive, and move freely. They should not be eliminated for slight errors. Judges may ask horses to hand gallop collectively, one way of the ring. Not more than eight will be asked to hand gallop at one time. All horses being considered for an award must be serviceably sound. An Under Saddle class cannot be the first performance class held in a rated section.

PART VI - CHAMPIONSHIPS

Section 1. Champion and Reserve Champion titles are awarded to the top two of the four horses that acquired the most points performing over a regulation course with obstacles at the full height required in the section in which shown. In addition to these points, only these four horses, receive half points for ribbons won in Model classes (full points for Regular Conformation Model classes) and full points for ribbons won in one Under Saddle class. In case of a tie score, the Championship and/or Reserve Championship is awarded to the horse that accumulated the most points over fences. If horses have an equal number of points over fences and no points under saddle, the tied horses must be shown at a walk, trot, and canter. Competitors may be asked to hand gallop at the discretion of the judge. (Exception: Green Hunters.) This competition is judged as an independent under saddle class with conformation, soundness, and performance to count as prescribed throughout the section. If horses have an equal number of points over fences, under saddle, and model (i.e., Regular or Green Conformation) the tie will stand as is and both horses will receive Year End Award points in their respective Champion and/or Reserve position. A Championship may not be offered in a section unless a minimum of two over fences classes and one Under Saddle class are held.

Section 2. Only the first six ribbons in each class are counted regardless of the number offered. Points are awarded 10, 6, 4, 2, 1, ½. Ribbons have the same value even if less than the specified places are awarded due to lack of entries.

RULE XIII - SHORT STIRRUP HUNTER AND EQUITATION

Open to riders 12 years and under in their first or second year of showing at NCHJA or USEF recognized shows over fences 2' or higher. (Exception: showing in Maiden Equitation over fences 2' or higher does not qualify as a first or second year of showing.) Riders who have shown over fences at an NCHJA or USEF recognized show in a rated division or class (other than Short Stirrup Hunter, Short Stirrup Equitation, or Equitation 11 and Under) or in an unrated division or class with fences 2'6" or higher are not eligible for Short Stirrup Hunter or Short Stirrup Equitation classes. (Exception: a rider may show in the rated Small/Medium Pony Hunter division at NCHJA "C" shows and be eligible for Short Stirrup Hunter and Short Stirrup Equitation at NCHJA/USEF shows.) To be judged on performance, manners, way of going, and soundness. Suitability of a short stirrup mount to be stressed. Hunter division and equitation to be offered with fence heights at 2'. A rider of a short stirrup hunter is eligible for Short Stirrup Equitation, Equitation 11 and Under, and any unrated division with fences not to exceed 2'3" and no combinations. Any short stirrup rider may hack a horse or pony for an exhibitor with more than one entry in an Under Saddle class. Short Stirrup Hunters are not required to jog for soundness.

RULE XIV - CHILDREN'S HUNTER PONY

- Section 1.** Open to all ponies not showing in a rated division, ridden by a rider not showing over fences exceeding 3' at that show. To be judged on performance and soundness. Small and medium ponies to jump 2' and large ponies to jump 2'6". Ponies to be jogged in accordance with USEF Rule HU124.
- Section 2.** If the rules and specifications adopted by Zone 4 of USEF for the Children's Hunter Pony Division differ from those of NCHJA and the Zone 4 rules are followed at an NCHJA recognized horse show held in Zone 4, the points earned in those classes will count toward NCHJA Year End Awards.

RULE XV - PONY HUNTER

- Section 1.** No junior over 12 years of age may ride in a regular Small Pony Hunter section. No junior over 14 years of age may ride in a regular Medium Pony Hunter section. Riders under 18 years of age may ride for other exhibitors who have more than one entry in the Under Saddle class. Ponies must be handled by junior exhibitors in all phases of a class.
- Section 2.** At USEF rated shows, ponies shown in any rated Hunter Pony, Green Hunter Pony, Children's Pony Hunter, or Pony Jumper sections may not be shown by an exhibitor 18 years or older at the same competition. At NCHJA "C" shows, ponies shown in any Pony Hunter, Children's Pony Hunter, or Pony Jumper may be ridden by riders 18 years or older in unrated classes as long as (a) the classes are not restricted to junior riders or ponies, and (b) the rider is not in conflict with any amateur rule.

- Section 3.** Small ponies are not to exceed 12.2 hands, medium ponies are ponies over 12.2 hands but not exceeding 13.2 hands; and large ponies are ponies over 13.2 hands but not exceeding 14.2 hands.
- Section 4.** Regular classes are open to all ponies not exceeding 14.2 hands. Qualifications must follow as nearly as possible those outlined for Hunters.
- Section 5.** No rider may show more than three ponies in a class at NCHJA "C" shows.
- Section 6.** A green pony is a pony of any age not exceeding 14.2 hands that has not been shown over fences the regulation height or higher for its respective section (2'3" for small, 2'6" for medium and 2'9" for large) prior to December 1st of the current competition year at a Regular Competition of USEF or the Canadian Equestrian Federation (CEF) or any national or international competition. Showing in Canada over fences at or higher than the regulation height for the pony's section under CEF rules breaks the pony's green status for the purpose of this rule whether or not the regulation height for the pony's section under CEF rules is less than, equal to, or greater than the regulation height for the pony's section under USEF rules. Once a pony has broken its green status in one Hunter Pony section (i.e. small, medium, large) it will not regain its status by moving to another Hunter Pony section. A pony's green status is considered to be broken once it competes over fences the regulation height or higher for its respective section (see above). The pony's green status may be reinstated for a future competition year if it was not shown at more than three (3) competitions prior to May 15th and if it was not shown after May 15th in the same year. In order to maintain green status for the next competition year, the pony must stop competing over fences its respective height or higher and the owner must notify NCHJA Office by letter prior to December 1 of that competition year, giving the pony's name and recording number. If the pony is not recorded, it must be recorded at this time. Failure to do this will result in the pony losing its green status. A Green Reinstatement will only be granted one time.
- Section 7.** Ponies who have not broken their green status must jump less than their regulation height in open classes or their Green Status will be broken.
- Section 8.** Courses have the same requirements as for Hunters with the exception that the first obstacle on a pony hunter course must be a vertical obstacle (i.e., brush or rail.) Oxers and coops are prohibited as a first obstacle. The height and spread of obstacles are 2'3" for small, 2'6" for medium, and 3' for large ponies. (Green ponies to jump 2'3" for small, 2'6" for medium, and 2'9" for large.) Spread of jump may not exceed height and must be measured including flowers, brush, and ground lines.
- Section 9.** The suggested distances for in and outs are 20' for small, 22' for medium, and 24' for large ponies. The in and out distance must be adjusted for each height section. At NCHJA "C" shows it is suggested that courses be set with no in and outs and the distance between fences be set using 12' multiples. At NCHJA "C" shows the

distance between fences for each height section (except for in and outs) may be adjusted at management's discretion.

- Section 10.** If a pony under saddle class has more than 20 entries, the class must be split and held in at least two sections with one set of awards.

RULE XVI - CHILDREN'S HUNTER

- Section 1.** Open to horses only. To be shown by a junior exhibitor. A rider in the Children's Hunter Division is not eligible to compete in classes where fences exceed 3'3" except equitation classes, Children's/Adult Jumper, and Pony Jumper Classes. Course shall consist of no less than eight fences. Fence height shall be 3'; spreads not to exceed fence height. To be judged on performance, manners, way of going, and soundness. Suitability as a Children's Hunter to be stressed. Horses to be jogged in accordance with USEF Rule HU124.
- Section 2.** Horses entered in Children's Hunter classes may not cross enter into Junior Hunter sections at the same competition. Horses entered in Children's Hunter classes may be shown in the Amateur Owner Hunter section at the same competition if ridden by a member of the child's family. If an Under Saddle class is divided by age and a rider has two or more horses entered, all riders must be juniors but need not be eligible by age. If two or more sections are offered, horses cannot be entered in more than one section.
- Section 3.** At NCHJA "C" shows in North Carolina, riders may not cross enter into Junior Hunter sections at the same competition. At NCHJA "C" shows outside North Carolina, USEF Zone rules will apply.
- Section 4.** If the rules and specifications adopted by Zone 4 of the USEF for the Children's Working Hunter Division differ from those of NCHJA and the Zone 4 rules are followed at an NCHJA recognized horse show held in Zone 4, the points earned in those classes will count toward NCHJA Year End Awards.

RULE XVII - ADULT AMATEUR HUNTER

- Section 1.** To be ridden by an amateur in possession of a valid amateur card. A rider in the Adult Amateur Division is not eligible to compete in any class where fence heights exceed 3'3" with the exception of the Children's/Adult Jumper Division. Course shall consist of no less than eight fences. Fence height shall be 3'; spreads not to exceed height of fence. **If the Adult Amateur division is split two ways, it must be split from 18 to 35 and from 36 and over. If split three ways, it must be split from 18 to 35, 36 to 46, and 47 and over.** To be judged on performance, manners, way of going, and soundness. Suitability of an Adult Amateur Hunter to be stressed. Horses to be jogged in accordance with USEF Rule HU124.

- Section 2.** Horses may be entered in Junior Hunter sections at the same competition if ridden by a member of the same family. If an Under Saddle class is divided by age and a rider has two or more horses entered, all riders must be amateurs but need not be eligible by age.
- Section 3.** At NCHJA "C" shows in North Carolina, riders may not cross enter into the Amateur Owner Hunter sections at the same competition. At NCHJA "C" shows outside North Carolina, USEF Zone rules will apply.
- Section 4.** If the rules and specifications adopted by Zone 4 of the USEF for the Adult Amateur Hunter Division differ from those of NCHJA and the Zone 4 rules are followed at an NCHJA recognized horse show held in Zone 4, the points earned in those classes will count toward NCHJA Year End Awards.

RULE XVIII - JUNIOR WORKING HUNTER

- Section 1.** Riders must not have reached their 18th birthday on or before December 1 of the competition year.
- Section 2.** Horses and ponies must be handled by junior exhibitors in all phases of a class. No rider may show more than three horses in a class at NCHJA "C" shows.
- Section 3.** Ponies may not be entered in Junior Hunter classes if Pony Hunter classes are offered at the same competition. Horses entered in Junior Hunter classes may not cross enter into Children's Hunter sections at the same competition. At NCHJA "C" shows in North Carolina, riders may not cross enter into Children's Hunter sections at the same competition. At NCHJA "C" shows outside North Carolina, USEF Zone rules will apply.
- Section 4.** Height of obstacles in the Junior Working Hunter Division shall be 3'6". In all classes manners will be emphasized and extreme speed will be penalized.

RULE XIX - AMATEUR OWNER HUNTER

- Section 1.** Amateur Owner Hunters are to be ridden by amateur owners or an amateur member of the owner's family. In either case, classes are restricted to riders who are no longer eligible to compete as junior exhibitors. Leased horses are not eligible and multiple ownership is not permitted unless all owners are members of the same family.
- Section 2.** An amateur who rides for a person outside of his/her family may not ride in a class restricted to Amateur Owners at the same competition. Amateur Owner riders may, however, ride for a person outside his/her family in equitation classes, Under Saddle classes and for other Amateur Owners who have more than one entry in the Under Saddle class. If an Under Saddle class is divided by age and a rider has two or more horses entered, all riders must be amateurs but need not be eligible by age.

Section 3. Horses entered in Children's Hunter classes may be shown in the Amateur Owner Hunter section at the same competition if ridden by a member of the child's family. At NCHJA "C" shows in North Carolina, riders may not cross enter into the Adult Amateur Hunter sections at the same competition. At NCHJA "C" shows outside North Carolina, USEF Zone rules will apply.

Section 4. Height of fences in the Amateur Owner sections shall be 3'6".

RULE XX - PRE-GREEN WORKING HUNTER

Section 1. Open to horses in their first **or second** year of showing over fences 3' or higher at USEF regular member competitions or Combined Training Events. **A first year pre-green horse must jump 3' and a second year pre-green horse must jump 3'3". A horse cannot show in the Green Hunter Division or show over fences 3'6" or higher and still be eligible for Pre-Green. Reinstatement rules are the same as for Green Hunters. If a horse has been reinstated from first year green, it must show as a second year pre-green horse. (Exception: Horses that have shown over 3' as a Three Year-Old are eligible as first year pre-green the following year that they show.)** Pre-Green Hunters are not required to jog for soundness.

Section 2. If the rules and specifications adopted by Zone 4 of the USEF for the Pre-Green Working Hunter Division differ from those of NCHJA and the Zone 4 rules are followed at an NCHJA recognized horse show held in Zone 4, the points earned in those classes will count toward NCHJA Year End Awards.

RULE XXI - PRE-CHILDREN'S/ADULT HUNTER NCHJA "C" SHOWS ONLY

To be shown by a junior or amateur in possession of a valid amateur card. Horse/pony/rider combination who have shown over fences at an NCHJA or USEF recognized show in a rated division or class, or in an unrated division or class with fence heights 3'6" or higher, are not eligible for Pre-Children's/Adult Hunter Division.

Horse/pony/rider combination can not cross enter into divisions or classes where fence heights exceed 2'6" at the same show. Ponies may not cross enter into the Children's Pony Division or the rated Regular Pony Hunter Division. Ponies shown in the Children's Hunter Pony section may not be shown by an adult at the same competition. Riders showing in the Children's Pony or Regular Pony Divisions may not cross enter into the Pre-Children's/Adult Hunter Division at the same show.

To be judged on performance, manners, way of going, and soundness. Suitability of a Pre-Children's/Adult mount to be stressed. Fence heights to be 2'6". Distances between fences will be set using 12' multiples.

RULE XXII - COMBINING/DIVIDING SECTIONS AT "C" SHOWS

The following sections may be combined at NCHJA "C" shows only. If there are less than three Adult Amateur Hunters or less than three Children's Hunters, the two sections may be combined. If there are less than three Junior Working Hunters or less than three Amateur Owner Hunters, the two sections may be combined. If there are less than three Small/Medium Ponies or less than three Large Ponies, the two sections may be combined.

Competition management may offer classes divided by age of adult exhibitor and junior exhibitor.

RULE XXIII - HEIGHT OF OBSTACLES AT "C" SHOWS

At NCHJA "C" competitions, all hunter divisions that are eligible for NCHJA Year End Awards will be offered with fence heights at 3" below USEF standard height. (Exception: Short Stirrup Hunter and Pre-Children's/Adult Hunter.)

RULE XXIV - NCHJA CHILDREN'S EQUITATION CLASS *NCHJA "C" SHOWS ONLY*

PART I - CLASS SPECIFICATIONS

Open to Junior members of NCHJA. Must be a member before entering the Class. To be shown over a hunter type course of not less than eight fences 2'9" in height and one-third of the obstacles must be oxers. Only one rider per horse. Four competitors to fill the class. Three competitors must complete the course. Judges may ask riders to perform USEF tests 1-19. The NCHJA Children's Equitation Class may be combined with the NCHJA Adult Equitation Class if there are less than 4 entries.

Riders in the NCHJA Children's Equitation Class are not eligible for the NCHJA Pony Equitation Class at the same show. Any contestant that has won the NCHJA Children's Equitation Finals is not eligible for any other competition in the NCHJA Children's Equitation Class.

PART II - NCHJA CHILDREN'S EQUITATION FINALS SPECIFICATIONS

Section 1. **NCHJA CHILDREN'S EQUITATION FINALS** - The top 15 Junior riders who have accumulated points from September 1 through August 31 in the NCHJA Children's Equitation Class will be eligible for the Finals. To be shown over a hunter type course of not less than eight fences 2'9" in height and one-third of the obstacles must be oxers. The course must include at least one change of lead and two of the following: bending line, roll-back turn, or long approach to a single jump. Any additional fences, not to exceed four, shall require an additional oxer. All riders are required to show at a walk, trot, and canter both ways of the ring. The flat phase shall count 50%. Two or more tests (USEF 1-19) of at least the top four riders are required. Class to be judged on seat, hands, guidance, and control of the mount throughout. Only one rider per horse. The NCHJA

Children's Equitation Finals may be combined with NCHJA Adult Equitation Finals if there are less than 8 entries. Riders in the NCHJA Children's Equitation Finals may not compete in the E.J. Haun Memorial **Equitation** Finals, **the NCHJA Equitation on Ponies Finals, or the NCHJA Pony Equitation Finals** in the same year.

Section 2. **NAMES AND ADDRESSES** of previous winners should be made available to show management for printing in the Annual Show's prize list and program. One page of the prize list and program should be devoted to the class specifications and the previous winners. The Finals will be held annually in North Carolina at a show designated by the NCHJA Board of Directors. It is recommended that one of the judges officiating the NCHJA Children's Equitation Finals be USEF "R" rated in equitation.

RULE XXV - NCHJA ADULT EQUITATION CLASS ***NCHJA "C" SHOWS ONLY***

PART I - CLASS SPECIFICATIONS

Open to amateur Senior members of NCHJA. Must be a member before entering the Class. To be shown over a hunter type course of not less than eight fences 2'9" in height and one-third of the obstacles must be oxers. Only one rider per horse. Four competitors to fill the class. Three competitors must complete the course. Judges may ask riders to perform USEF tests 1-19. The NCHJA Adult Equitation Class may be combined with the NCHJA Children's Equitation Class if there are less than 4 entries.

PART II - NCHJA ADULT EQUITATION FINALS SPECIFICATIONS

Section 1. **NCHJA ADULT EQUITATION FINALS** - The top 15 amateur Senior riders who have accumulated points from September 1 through August 31 in the NCHJA Adult Equitation Class will be eligible for the Finals. To be shown over a hunter type course of not less than eight fences 2'9" in height and one-third of the obstacles must be oxers. The course must include at least one change of lead and two of the following: bending line, roll-back turn, or long approach to a single jump. Any additional fences, not to exceed four, shall require an additional oxer. All riders are required to show at a walk, trot, and canter both ways of the ring. The flat phase shall count 50%. Two or more tests (USEF 1-19) of at least the top four riders are required. Class to be judged on seat, hands, guidance, and control of the mount throughout. Only one rider per horse. The NCHJA Adult Equitation Finals may be combined with NCHJA Children's Equitation Finals if there are less than 8 entries. Riders in the NCHJA Adult Equitation Finals may not compete in the Bryan Jones Memorial **Equitation** Finals in the same year.

Section 2. **NAMES AND ADDRESSES** of previous winners should be made available to show management for printing in the Annual Show's prize list and program. One page of the prize list and program should be devoted to the class specifications and the previous winners. The Finals will be held annually in North Carolina at a

show designated by the NCHJA Board of Directors. It is recommended that one of the judges officiating the NCHJA Adult Equitation Finals be USEF "R" rated in equitation.

RULE XXVI - NCHJA PONY EQUITATION CLASS
NCHJA "C" SHOWS ONLY

PART I - CLASS SPECIFICATIONS

Open to Junior members of NCHJA. Must be a member before entering the Class. To be shown over a hunter type course of not less than eight fences with no combinations and one-third of the obstacles must be oxers. Small/Medium Ponies jump 2'3"; Large Ponies jump 2'9". Only one rider per pony. Four competitors to fill the class. Three competitors must complete the course. Judges may ask riders to perform USEF tests 1-9.

Riders in the NCHJA Pony Equitation Class are not eligible for the NCHJA Children's Equitation Class at the same show. Any contestant that has won the NCHJA Pony Equitation Finals is not eligible for any other competition in the NCHJA Pony Equitation Class.

PART II - NCHJA PONY EQUITATION FINALS SPECIFICATIONS

Section 1. **NCHJA PONY EQUITATION FINALS** - The top 15 riders who have accumulated points from September 1 through August 31 in the NCHJA Pony Equitation Class will be eligible for the Finals. To be shown over a hunter type course of not less than eight fences with no combinations and one-third of the obstacles must be oxers. The course must include at least one change of lead and two of the following: bending line, roll-back turn, or long approach to a single jump. Any additional fences, not to exceed four, shall require an additional oxer. Small/Medium Ponies jump 2'3"; Large Ponies jump 2'9". All riders are required to show at a walk, trot, and canter both ways of the ring. The flat phase shall count 50%. Class to be judged on seat, hands, guidance, and control of the mount throughout. Only one rider per pony. Judges may ask riders to perform USEF tests 1-9. Riders in the NCHJA Pony Equitation Finals may not compete in the NCHJA Equitation on Ponies Finals or **the NCHJA Children's Equitation Finals** in the same year.

Section 2. **NAMES AND ADDRESSES** of previous winners should be made available to show management for printing in the Annual Show's prize list and program. One page of the prize list and program should be devoted to the class specifications and the previous winners. The Finals will be held annually in North Carolina at a show designated by the NCHJA Board of Directors. It is recommended that one of the judges officiating the NCHJA Pony Equitation Finals be USEF "R" rated in equitation.

RULE XXVII - JUMPER DIVISION

PART I - GENERAL ELIGIBILITY

Jumpers may be of any breed, height, or sex. Junior riders may show stallions. Any action(s) against a horse by an exhibitor, which are deemed excessive by a judge, steward, or competition veterinarian, in the competition ring or anywhere on the competition grounds may be punished by official warning, elimination, or other sanctions which may be deemed appropriate by the competition manager. Such action(s) could include, but are not limited to, excessive use of whip, spurs, or bamboo poles. Manual poling is prohibited. Competitors found to be in violation of this rule by the competition steward or other USEF or NCHJA official shall be eliminated/disqualified from competing within the upcoming 24 hour period and shall forfeit all entry fees for such competition. In addition, after consultation between competition management, the steward, or other official involved and the judge in the Jumper division, additional penalties including disqualification from the balance of the competition may be imposed. In a case of unsoundness sufficiently severe to be considered abusive, the judge(s) must eliminate the competitor from that class and inform the competition manager, who in conjunction with the official veterinarian and the steward, will evaluate disqualifying the horse from further participation in the competition.

PART II - SCORING

Section 1. SCORING - Jumpers are scored mathematically, based on penalty faults incurred between the starting line and finish line. A competitor, after receiving the signal to start his/her round, is not being scored until crossing the starting line in the proper manner. Penalty faults include disobediences, falls, knockdowns, and time penalties.

- (a) Verticals. When an obstacle is composed of several elements in the same vertical plane, a fault at the top element is the only one penalized.
- (b) Spreads. When an obstacle to be taken in one effort is composed of several elements not in the same vertical plane (i.e., oxer, triple bar, etc.) faults at more than one element are penalized only once.
- (c) Combinations. In combinations, the faults committed at each obstacle are scored separately. In case of refusal or run-out at one element of a combination (and upon the judge's signal that the obstacle has been reset, if necessary), the competitor must re-jump the entire combination.
- (e) Broken Equipment. In cases of broken equipment or loss of shoe, the rider must continue if he wishes to avoid penalty, or may voluntarily withdraw.
- (f) Setting a Standard. After the first round of the first class in any section, management or the judge(s) may order a competitor from the ring when it becomes evident that their performance prohibits them from being in the ribbons.

- (g) **Courtesy Fence.** An eliminated competitor may make one attempt to jump an additional single obstacle, which may be designated by the judge(s), but may not continue thereafter. (Exception: The right to attempt an additional obstacle does not apply in the case of the fall of the horse or rider.)
- (h) **Exiting the Arena.** Any competitor who does not leave the ring promptly at the end of the round or after being eliminated may be disqualified from future classes during the competition at the discretion of the judge(s). Any competitor at the end of his/her round who does not leave the ring through the designated gate(s) (e.g. jumping out of the arena), is eliminated.
- (i) **Riders receiving physical assistance from outside the ring (i.e., lunge whips, etc.)** while on course will be eliminated. (Note: this does not apply to riders between rounds in Table II, 2(b) competitions provided the rider begins his jump-off within the limits of the time allowed between the audible signal and crossing the starting line.)
- (j) **If a competitor jumps an obstacle that has not been reset, or has been reset improperly due to it being downed by a previous competitor, the weather, or some other factor, the competitor receives no penalty. If the competitor knocks down the obstacle he will be penalized accordingly.**

Section 2. START AND FINISH - A start line must be established at least 12' from the first obstacle and a finish line at least 24' from the last obstacle, each indicated by two markers at least 12' apart. Horses must cross the start line between the markers mounted, and to complete the course they must cross the finish line between the markers in the proper direction, mounted. Passing through the starting markers in the improper direction before starting does not incur penalty. The horse must not resist for 45 consecutive seconds or take more than 45 seconds to jump the first obstacle after the time of the round has begun or take more than 45 seconds to jump the next obstacle on course. Failure to enter the ring within one minute of being called incurs elimination. The time limit for entering the ring must be enforced by management. To prevent unfairness to an exhibitor, management may extend the time limit for entering the ring. A competitor will have 45 seconds from the time the judge(s) sound an audible signal to cross between the start markers in the proper direction and start on course. If a competitor does not cross through the start line within the allotted time, the time on course will start when the allotted time expires. In classes (or phases of a class) where time is not being recorded, competitors will have 45 seconds to cross the start line, or be eliminated.

Section 3. AUDIBLE SIGNAL - An audible signal (bell, horn, whistle, gong or buzzer) is sounded for the following purposes:

- (a) to give the signal to start;

- (b) to interrupt the 45 second period which a rider has to begin the course in the event of an unforeseen incident;
- (c) to stop a competitor in the event of an unforeseen incident (which also designates a time-out);
- (d) to indicate that an obstacle has to be reset before being retaken after it has been knocked down during a refusal (designating a time-out);
- (e) to give the signal for competitor to continue his round after an interruption, also designating time in; (Note: It is the rider's responsibility to be ready to continue on the course when signal is given)
- (f) to indicate by repeated and prolonged ringing that the competitor has been eliminated; and
- (g) to indicate that the rider should not proceed to the jump-off round in a Table II, Sec. 2(c) or a Table V, Sec. 2(c) class.

Section 4. TIMING - Time is taken from the instant the horse's chest reaches the start line, or upon the expiration of the 45 seconds following the sounding of the audible tone, until it reaches the finish line. After the signal to start has sounded, a competitor must pass through the start markers in the proper direction to be considered on course.

- (a) If, as a result of a disobedience, a competitor knocks down or dislodges an obstacle or a flag marking the limits of an obstacle, the clock is stopped immediately and remains stopped while the obstacle or flag marking the limits of an obstacle is rebuilt. Regardless of which fence on the course this occurs at, and for each time it occurs on the course, a penalty of four seconds will be added to the time the horse takes to complete the course.
- (b) If a competitor knocks down or dislodges one of the parts of a combination or a flag marking the limits of an obstacle and then refuses or runs out at the next part without knocking it down, the clock is stopped as for a knockdown resulting from a disobedience. Regardless of which fence on the course this occurs at, and for each time it occurs on the course, a penalty of four seconds will be added to the time the horse takes to complete the course. Elements knocked down preventing a horse from jumping the next obstacle must be removed, even if time must be taken out for this purpose.
- (c) One (1) time fault will be charged for each commenced second by which the time allowed is exceeded in any class for which time is a factor in scoring.
- (d) The Time Allowed to complete the course should be calculated from an actual measurement of the course's length for all rounds and jump-offs. However, if the number of turns on the course or the size of the ring suggests that the calculated

Time Allowed may prove unfair in practice, it may be adjusted prior to the start of the competition. The Time Allowed is based on a minimum speed of 382 yards per minute (360 yards per minute in very small or indoor arenas.) If a speed other than 382 yards per minute is to be used, it must be printed in the prize list. The Time Allowed must be announced and posted prior to the start of the class. The Time Allowed may be adjusted at the sole discretion of the judge(s), if they feel it is inaccurate, but only after consultation with the course designer. However, the Time Allowed may only be adjusted once during the early rounds of a given competition, never later than after the third competitor to complete the course without a disobedience. The Time Allowed can never be lowered so that time faults will be awarded to any previous competitor that has completed the course without a disobedience. The Time Limit is defined as double the Time Allowed. Any competitor whose time (including penalty seconds which might have been assessed for time-out(s) as described above) exceeds the Time Limit is eliminated.

Section 5. DISOBEDIENCES - The following defines disobediences and are penalized.

- (a) Refusal. Stopping in front of an obstacle to be jumped, whether or not the horse knocks it down or displaces it, is penalized as a disobedience. Stopping at an obstacle without knocking it down and without backing, followed immediately by jumping from a standstill, is not penalized. However, if the halt continues, or the horse backs even a single step or circles to retake the course, a refusal is incurred.
- (b) Run-out. Evading or passing the obstacle to be jumped or the finish line or jumping an obstacle outside its limiting markers is penalized as a disobedience.
- (c) Resuming the Course. After a run-out or refusal the competitor must, before proceeding on the course, re-jump the obstacle at which the disobedience occurred or be eliminated. If the flag, standard, wing, or obstacle has not been reset when the competitor is ready to jump, he must await the signal to start or be eliminated.
- (d) Loss of Forward Movement. Halting or stepping backward after crossing the start line, (unless due to a refusal, run-out, or an order from a judge due to unforeseen circumstances such as a fence being blown down,) will be penalized as a disobedience.
- (e) Circling. Any form of circle or circles whereby the horse crosses its original track between two consecutive obstacles (start and finish included,) or stops advancing toward or turns at least 180 degrees away from the next fence, or finish line, except to retake an obstacle after a disobedience, is considered a disobedience. This is a judgment call. (Note: Coming sideways or zigzagging toward an obstacle does not constitute a disobedience unless the horse passes or turns its back to the next obstacle or the finish line.)

Section 6. KNOCKDOWNS - An obstacle is considered knocked down when in jumping an obstacle, a horse or rider, by contact:

- (a) lowers any part thereof which establishes the height of the obstacle or the height of any element of a spread obstacle, even when the falling part is arrested in its fall by some other portion of the obstacle; or
- (b) moves any part thereof which establishes the height of the obstacles so that it rests on a different support from the one on which it was originally placed. Should a pole resting in a cup come to rest on the lip of the cup, or on a bracket which is an integral part of the cup, it is not considered a knockdown. Narrowing the width of a spread obstacle without altering the height of any elements as defined above is not considered a knockdown. If an obstacle falls after a competitor leaves the ring, it is not considered a knockdown. (Exception: A fault at the final jumping effort will count, unless the competitor has left the arena.)

Section 7. FALLS - A rider is to have considered to have fallen when he is separated from his horse, which has not fallen, in such a way as to necessitate remounting or vaulting into the saddle. A horse is considered to have fallen when the shoulder and haunch on the same side have touched the round or an obstacle and the ground. A courtesy fence is not permitted following the fall of the horse or rider.

Section 8. OFF COURSE - A competitor is considered off course when he deviates from the course as shown by the diagram and jumps an obstacle prior to rectifying the deviation.

PART III - CLASS CONDITIONS

Section 1. TABLE OF JUMPING FAULTS - Faults and elimination are scored as follows:

- (a) Knockdown of obstacle or standard with any portion of horse, rider, or equipment, when jumping an obstacle -- **4 faults**.
- (b) Knockdown of automatic timing equipment, other designated markers on start and finish lines -- **4 faults**.
- (c) First disobedience anywhere on course -- **4 faults**.
- (d) Second cumulative disobedience anywhere on course
 - (1) **in all classes conducted at Level 5 or higher -- Elimination.**
 - (2) **in all classes conducted at Level 4 or below -- 4 faults.**
- (e) **Third cumulative disobedience anywhere on course in classes conducted at Level 4 or below -- Elimination.**
- (e) A horse resisting for 45 consecutive seconds -- **Elimination**.
- (f) Taking more than 45 seconds to jump the first obstacle after the time of the round has begun -- **Elimination**.

- (g) Taking more than 45 seconds to jump the next obstacle on course -- **Elimination**.
- (h) Exceeding Time Allowed (for each commenced second over the Time Allowed) - **- 1 fault**.
- (i) Fall of horse and/or rider -- **Elimination**.
- (j) Jumping an obstacle before it is reset, or without waiting for signal to proceed -- **Elimination**.
- (k) Starting before judge's signal to proceed -- **Elimination**.
- (l) Failure to cross the start line within 45 seconds, in classes (or phases of a class) where time is not being recorded -- **Elimination**.
- (m) Jumping an obstacle before crossing start line unless said obstacle is designated as a practice obstacle or after crossing the finish line, whether forming part of the course or not -- **Elimination**.
- (n) Off course -- **Elimination**.
- (o) Rider and/or horse leaving the arena before finishing the course (Penalized at any time the horse is in the ring) -- **Elimination**.
- (p) Any competitor at the end of his/her round who does not leave the ring through the designated gate(s) (e.g. Jumping out of the arena) -- **Elimination**.
- (q) Actions against a horse deemed excessive (For example: excessive use of whip or spurs at any time within the arena) -- **Elimination**.
- (r) Exceeding the Time Limit -- **Elimination**.

Section 2. **TABLE II, SEC. I - Time First Round.** The first round is decided by adding together the faults incurred over the course and any penalties for exceeding the Time Allowed. Horses with clean rounds or equal faults are classified according to their time taken to complete the course.

Section 3. **TABLE II, SEC. 2 (A) - Time First Jump-Off.** The first round and first jump-off, if any, are decided by adding together the faults incurred over the course and any penalties for exceeding the Time Allowed. In the event of equality of faults after the first round, there will be one jump-off for first place only in which time will decide in the event of equality of faults.

Section 4. **TABLE II, SEC. 2 (B) - Time First Jump-Off.** The first round and first jump-off, if any, are decided by adding together the faults incurred over the course and any penalties for exceeding the Time Allowed. If a competitor has gone clean in

the first round, the competitor will, without leaving the ring, upon an audible signal, commence the designated jump-off course. A competitor with a clear round may dismount, and with assistance if necessary, adjust tack and/or equipment; however, upon the audible signal to begin his/her round, the competitor is responsible to adhering to the 45 second rule. A competitor who leaves the arena after a clear round (before or after the tone) will be considered to have retired from the jump-off. If there are no clean rounds and a tie exists for first place, there will be one jump-off in which time will decide in the event of equality of faults.

Section 5. **TABLE II, SEC. 2 (A/B)** - At management's discretion, each exhibitor may have the option of taking part in the jump-off at the completion of their round as in Table II, Sec 2 (b) or after all exhibitors have completed their first round.

Section 6. **TABLE II, SEC. 2 (C) - Power and Speed.** The first round and first jump-off, if any, are decided by adding together the faults incurred over the course and any penalties for exceeding the Time Allowed if any. If a competitor has gone clean in the first round, he will, upon crossing the finish line, commence the designated jump-off course. Time starts as the horse crosses the finish line for the initial course. If there are no clean rounds and a tie exists for first place, there will be one jump-off in which time will decide in the event of equality of faults. The use of a time allowed is optional in the first round. The Time Allowed in the first round may be taken by electronic or manual means.

Section 7. **TABLE V, SEC. 1 - Optimum Time in First Round.** The first round is decided by adding together the faults incurred over the course and any penalties for exceeding the Time Allowed. In case of equality of faults, ties will be broken on the following basis: an "Optimum Time" (defined as 4 seconds less than the Time Allowed for the course) will be posted on the course plan: the horse with the time on course closest to this Optimum Time (over or under) will prevail in the tie, next closest next, etc.

Section 8. **TABLE V, SEC. 2(A) - Optimum in First Jump-Off.** The first round and first jump-off are decided by adding together the faults incurred over the course and any penalties for exceeding the Time Allowed. In case of equality of faults, ties will be broken on the following basis: an "Optimum Time" (defined as 4 seconds less than the Time Allowed for the course) will be posted on the course plan: the horse with the time on course closest to this Optimum Time (over or under) will prevail in the tie, next closest next, etc.

Section 9. **TABLE V, SEC. 2(B) - Optimum Time First Jump-Off.** The first round and first jump-off are decided by adding together the faults incurred over the course and any penalties for exceeding the Time Allowed. If a competitor has gone clean in the first round, the competitor will, without leaving the ring, upon an audible signal, commence the designated jump-off course. In case of equality of faults in the jump-off, ties will be broken on the following basis: an "Optimum Time" (defined as 4 seconds less than the Time Allowed for the course) will be

posted on the course plan: the horse with the time on course closest to this Optimum Time (over or under) will prevail in the tie, next closest next, etc. If there are no clear rounds, a jump-off, scored as described above, will take place among those tied for first place.

Section 10. TABLE V, SEC. 2(C) - Optimum Time Power and Speed. The first round and first jump-off are decided by adding together the faults incurred over the course and any penalties for exceeding the Time Allowed, if any (Note: The use of a time allowed is optional in the initial round.) If a competitor has gone clean in the first round, he will, upon crossing the finish line, commence the designated jump-off, with time starting as the horse crosses the finish line for the initial course. In the case of equality of faults in the jump-off, ties will be broken on the following basis: an "Optimum Time" (defined as 4 seconds less than the Time Allowed for the course) will be posted on the course plan: the horse with the time on course closest to this Optimum Time (over or under) will prevail in the tie, next closest next, etc. If there are no clear rounds, a jump-off, scored as described above, will take place among those tied for first place.

PART IV - COURSES

Section 1. COURSES - There must be at least one change of direction in every course including jump-off courses. At least one combination must be included in every course. Every course must contain a minimum of eight obstacles. (Exception: jump-off courses.) Each obstacle of a combination is counted as an additional effort. At least three obstacles out of the first eight must be spread obstacles. (Exception: jump-off courses.) For each additional four obstacles, one obstacle must be a spread. When one or more of the original obstacles is sufficiently damaged to become unusable while a class is in progress and no duplicate is available, show management must substitute obstacle(s) which approximate as nearly as possible the original obstacle(s).

Section 2. JUMP-OFFS - Jump-offs are held over obstacles from the original course which may include obstacles not included in the initial round. In a jump-off, any sequence of obstacles in either direction may be employed. Obstacles may be raised, lowered, broadened, or narrowed. Courses may be shortened after the first round and must be shortened if there is more than one jump-off. The jump-off course may not be shortened to less than six obstacles and must include at least one vertical and one spread obstacle.

Section 3. POSTING COURSES - Courses must be posted at least one-half (1/2) hour before the scheduled time of the class. Classes may not be started prior to that time without permission of all exhibitors. The plan or diagram of the course, including the Speed, Time Allowed, length of course, and jump-off course, must show the obstacles which must be jumped with the order indicated by number. Apart from this, the rider is not bound to follow a compulsory track. An arrow is used to indicate the direction in which each obstacle must be jumped.

PART V - TACK AND ATTIRE

Management, at its discretion, may allow competitors to compete without riding coats. If a riding coat is not worn, riders must wear a shirt with a collar or a choker, neatly tucked into riding breeches. A wind breaker jacket or raincoat may be worn if conditions require. In all cases, riders must appear neatly attired to appear before the public or be subject to penalty of elimination by the judges.

Competitors are prohibited from using a whip that is longer than 30" while in the process of jumping or schooling over fences. A rider may not carry more than one whip. Whips that are weighted at the end are prohibited at any time. Any rider competing with their stirrups tied to the girth and/or secured in any like manner will automatically be eliminated from that class. Riding anywhere on the competition grounds with stirrups tied to the girth or otherwise secured is cause for elimination, by the Steward, of that rider from the current or subsequent class in which the rider is entered. In the case of junior or amateur riders, the Steward may note the trainer names(s) on the Steward's Report and further disciplinary actions may be taken by NCHJA.

PART VI - JUMPER SCHOOLING RULES

Manual poling is prohibited. No Swedish oxers are allowed. Except where prohibited by class specifications or state, local, or competition restrictions, single bamboo pole offsets may be used, i.e., jumps where the back element of the jump is lower than the front element. Spread fences must be jumped in the right direction. If an item (blanket, cooler, etc.) is laid over the rail of an oxer it may be laid over the front rail only. Riders are fully responsible for any jump taken by their horse. Any action deemed not in the best interest of the horse will not be allowed. Schooling over obstacles in any competition area is permitted only at the time designated by the Show committee. All other schooling over obstacles is permitted only within clearly identified areas and only at time designated by the Show Committee. Schooling over obstacles in any other area of the competition ground or at any other time is prohibited.

PART VII - CHAMPIONSHIPS

Championships are optional, but if offered, a minimum of three classes must be held. If Championships are offered, they must be decided either on the basis of points won in classes throughout a section, or on the basis of money won in the section, or on the results of the highest prize money class in the section, provided qualification was required for that class based on results of earlier classes in the section. The method of determining Championships must be stated in the prize list. Credit towards Championships will be given only for the first six ribbons when points are the determining factor. Points are awarded 10, 6, 4, 2, 1, ½.

RULE XXVIII - CHILDREN'S/ADULT JUMPER

Section 1. Open to juniors and adults holding a valid amateur card. Riders in this division are not eligible to show in any other jumper classes where fence height exceeds 3'9" except equitation or Pony Jumper classes. Fences 3'0" to 3'3" in height and 3'3" to 3'9" in spread (triple bars/liverpools up to 4'3".)

Section 2. If the rules and specifications adopted by Zone 4 of USEF for the Children's/Adult Jumper Division differ from those of NCHJA and the Zone 4 rules are followed at an NCHJA recognized horse show held in Zone 4, the points earned in those classes will count toward NCHJA Year End Awards.

RULE XXIX - YEAR END AWARDS

NCHJA offers Year End Awards in several categories, the purpose of which is to encourage participation at NCHJA shows. In making these awards, NCHJA certifies that animals are properly recorded and exhibited under the name of the owner who **MUST** be a member of NCHJA. The Board of Directors has the authority to cancel any award should the competition throughout the year be so slight as not to warrant the presentation of an award. Award winners must accept awards in person or have someone designated to accept the awards for them. NCHJA will not be responsible for getting awards to winners.

"Rated" divisions or sections are divisions or sections in which NCHJA gives a Year End Award.

PART I - NCHJA/USEF SHOW AWARDS OFFERED

- | | |
|--|-----------------------------------|
| 1. Short Stirrup Hunter | 15. Amateur Owner Hunter (18-35) |
| 2. Green Pony Hunter | 16. Amateur Owner Hunter (36+) |
| 3. Small Pony Hunter | 17. Pre-Green Hunter |
| 4. Medium Pony Hunter | 18. 1st Year Green Working Hunter |
| 5. Large Pony Hunter | 19. 2nd Year Green Working Hunter |
| 6. Small/Medium Children's Hunter Pony | 20. Green Conformation Hunter |
| 7. Large Children's Hunter Pony | 21. Regular Working Hunter |
| 8. Children's Hunter 14 & Under | 22. Junior/Amateur Jumper |
| 9. Children's Hunter 15-17 | 23. Open Jumper |
| 10. Small Junior Hunter | 24. Children's/Adult Jumper |
| 11. Large Junior Hunter | 25. Short Stirrup Equitation |
| 12. Adult Amateur Hunter (18-35) | 26. 11 and Under Equitation |
| 13. Adult Amateur Hunter (36-46) | 27. 12 to 14 Equitation |
| 14. Adult Amateur Hunter (47+) | 28. 15 to 17 Equitation |

To be presented in the Ring at the NCHJA Annual Benefit Horse Show:

- | | |
|---|--|
| 29. NCHJA Equitation on Ponies Finals | 31. Bryan Jones Memorial Equitation |
| Finals | |
| 30. E.J. Haun Memorial Equitation Finals | |

PART II - NCHJA C SHOW AWARDS OFFERED

- | | |
|-------------------------|-----------------------------|
| 1. Short Stirrup Hunter | 7. Short Stirrup Equitation |
| 2. Small/Medium Pony | 8. 11 and Under Equitation |
| 3. Large Pony | 9. 12 to 14 Equitation |
| 4. Children's Hunter | 10. 15 to 17 Equitation |

- | | |
|--------------------------------|---------------------------------|
| 5. Junior/Amateur Owner Hunter | 11. Children's/Adult Jumper |
| 6. Adult Amateur Hunter | 12. Pre-Children's/Adult Hunter |

To be presented in the Ring at a show designated by the NCHJA Board of Directors:

- | | |
|----------------------------------|--|
| 13. NCHJA Pony Equitation Finals | 14. NCHJA Children's Equitation Finals |
| | 15. NCHJA Adult Equitation Finals |

PART III - SPECIAL NCHJA/USEF SHOW AWARDS OFFERED

1. Brooke Burgess Perpetual Short Stirrup Hunter Champion
Donated by Natalie Kaczanowski
2. Crusader Rabbit Green Pony Hunter Champion
Donated by Eliza Shuford
3. Rain or Shine Medium Pony Hunter Champion
Donated by Riddiough Family
4. Chardonnay Perpetual Large Pony Champion
Donated by Tracey Richardson
5. Nobody You Know Perpetual Children's Hunter Champion
Donated by Linda Shuford
6. Patent Pending Perpetual Small Junior Hunter Champion
Donated by the Lineberger Family
7. Snap Decision Perpetual Grand Junior Hunter Champion
Donated by Libby Tate
8. Centershot Memorial Perpetual Grand Pony Hunter Champion
Donated by Amanda Lyerly
9. Perfect Manhattan Perpetual Grand Adult Amateur Hunter Champion
Donated by Cloud's Harbor Farm
10. So Glad Perpetual Adult Amateur (36 & Over) Hunter Champion
Donated by Dr. & Mrs. Nixon Ellis
11. Final Appeal Perpetual Age Equitation Champion
In Memory of "Floyd." Donated by Kelly & Cassie Tighe
12. Patriot's Way Perpetual Grand Amateur Owner Hunter Champion
Donated by Lee Reynolds

13. Ross Kennedy Grand Hunter Champion Perpetual Trophy
Donated by Ms. Ross Kennedy
14. Patricia May Memorial Perpetual Horsewoman of the Year
In October the Corresponding Secretary will accept nominations for the Horsewoman of the Year Award. Nominees must be members of NCHJA. Only Senior members of NCHJA may vote. Ballots will be included in the Newsletter; votes will be returned to the NCHJA Office or submitted at the Annual Meeting. The winner will be announced at the Annual Banquet.
15. John Dailey Perpetual Horseman of the Year
(Procedure same as Horsewoman.)
16. Jim Breedlove Perpetual Sportsmanship Award
Donated by Ingleside Stables.
The President will appoint a committee of three to select a winner for the Sportsmanship Award which will be presented at the Annual Banquet.
17. Best All Around Rider on a Pony
(Procedure same as Horsewoman.)
18. Best All Around Junior Rider on a Horse
(Procedure same as Horsewoman.)
19. Best Horse Show Parent(s)
(Procedure same as Horsewoman.)
- 20. Sandy Minchin Lifetime Achievement Award**

PART IV - SPECIAL NCHJA "C" SHOW AWARDS OFFERED

1. Mark Fisher Small/Medium Pony Hunter Perpetual Cup
Donated by the Sanford Family & Fairmtosh Farm
2. Triton Perpetual Grand Pony Hunter Champion
Donated by Triton Stables
3. James A. Graham 11 and Under Equitation Champion
Donated by Richard Gilbert
4. Silverstone Perpetual High Score Short Stirrup Hunter Champion
Donated by Pleasant Green Farm
5. Winston Cup Perpetual High Score Large Pony Hunter Champion
Donated by Betsee Parker

6. Fairtosh Perpetual High Score Children's Hunter Champion
Donated by the Sanford Family and Fairtosh Farm
7. Constance B. Parker High Score Junior Equitation Champion
Donated by Betsee Parker

PART V - ELIGIBILITY

- Section 1. ELIGIBILITY** - To be eligible for an award a horse must be recorded with NCHJA and its owner must be a member of NCHJA. No points will be credited before a horse is recorded and its owner becomes a member. Both horse and owner must appear on the horse show entry form as recorded with NCHJA.
- Section 2. OWNERSHIP** of a horse may be transferred during the year without affecting the animal's score, but NCHJA must be notified of the transfer and the new owner must be a member if points won under the new ownership are to be counted. The award is made to the owner as shown in the files at the time the horse wins its last points in competition.
- Section 3. MULTIPLE OWNERSHIP** - At least one owner must be a member of NCHJA and the member's name must appear on the entry form in order for the points to count.
- Section 4. POINT STANDINGS DEADLINE** - Members have until December 31 of the current year to correct any errors in the point standings unless the Board of Directors elects to extend this date, which will appear in the December newsletter. After the closing date, THE POINTS WILL STAND.

PART VI - HUNTER AND EQUITATION POINTS TABULATION

NCHJA Hunter division points are determined by the show rating and the number of entries shown in the **FIRST over fences** class of the division or section. NCHJA Equitation division points are determined by the show rating, the number of entries and placing in each class.

Show Place	USEF "A"					USEF "AA"				
	# of Exhibitors					# of Exhibitors				
	3-8	9-15	16-25	26-35	36+	3-8	9-15	16-25	26-35	36+
Champ	60	80	100	120	140	80	100	120	140	160
Res.	36	48	60	72	84	48	60	72	84	96
1	30	40	50	60	70	40	50	60	70	80
2	25	35	45	55	65	35	45	55	65	75
3	20	30	40	50	60	30	40	50	60	70
4	15	25	35	45	55	25	35	45	55	65
5	14	24	34	44	54	24	34	44	54	64
6	13	23	33	43	53	23	33	43	53	63

Show	USEF "A"					USEF "AA"				
	# of Exhibitors					# of Exhibitors				
Place	3-8	9-15	16-25	26-35	36+	3-8	9-15	16-25	26-35	36+
7			32	42	52			42	52	62
8			31	41	51			41	51	61

Show	USEF "B"					NCHJA "C"			
	# of Exhibitors					# of Exhibitors			
Place	3-8	9-15	16-25	26-35	36+	3-8	9-15	16-25	26+
Champ.	40	50	60	80	100	30	40	50	60
Res.	24	30	36	48	60	18	24	30	36
1	20	25	30	40	50	15	20	25	30
2	15	20	25	35	45	10	15	20	25
3	10	15	20	30	40	5	10	15	20
4	5	10	15	25	35	4	5	10	15
5	4	5	10	20	30	3	4	5	10
6	3	4	5	15	25	2	3	4	5
7			4	10	20				
8			3	5	10				

Hunter division Champions will be awarded 2.0 times the total first place point value for the first class in that section. Hunter division Reserve Champions will be awarded 1.2 times the total first place point value of the first class in that section.

NCHJA will not count show equitation division Champion and Reserve Champion points toward Year End Awards.

Hunter Classic points will be awarded according to the table below.

Place	1	2	3	4	5	6	7	8	9	10	11	12
Show												
"C"	20	16	14	12	10	8	6	5	4	3	2	1
"B"	20	16	14	12	10	8	6	5	4	3	2	1
"A"	80	64	56	48	40	32	24	20	16	12	8	4
"AA"	100	80	70	60	50	40	30	25	20	15	10	5

No credit will be given in classes if less than three entries have shown and placed. At an "A" or "AA" rated hunter/jumper competition where it is required that the division be held over two days, if there are less than three exhibitors the second day, Year End Award points will still apply. Any NCHJA "C" show may offer Hunter Classic classes for rated divisions.

PART VII - CHILDREN'S/ADULT JUMPER POINT TABULATION

Children's/Adult Jumper division points are determined by the number of entries shown in the FIRST performance class of the division or section.

Place	# of Exhibitors in FIRST class			
	3-8	9-15	16-25	26+
1	15	20	25	30
2	10	15	20	25
3	5	10	15	20
4	4	5	10	15
5	3	4	5	10
6	2	3	4	5

NCHJA will not count show division Champion and Reserve Champion points toward Year End Awards. Classic class points are awarded based on the table above.

No credit will be given in classes if less than three entries have shown and placed. Any "C" show may offer a Children's/Adult Jumper Classic.

RULE XXX - RECORDING OF HORSES

Section 1. RECORDS - NCHJA maintains the only official record of winnings of horses at all member shows. To be eligible for any of the Year End Awards a horse must be recorded with NCHJA. A recording certificate will be issued upon receipt of the \$25.00 registration fee. Recording forms are available from NCHJA.

Section 2. CHANGE OF OWNER - Upon sale of a recorded horse, a transfer of ownership must be affected by submitting to NCHJA the original recording certificate properly signed for transfer. If the certificate is lost, a copy of the bill of sale may be presented with the request for transfer. There is no fee for transfer of ownership.

Section 3. CHANGE OF NAME - The recorded name of a horse may be changed by submission of the original certificate to NCHJA. The fee for the change of name is \$5.00.

Section 4. LEASED HORSES - In order for points to count toward Year End Awards, the lessee must be a member of NCHJA and must so inform NCHJA. The horse must be registered with NCHJA.

RULE XXXI - CONFLICT OF INTEREST

Section 1. PURPOSE - The purpose of the conflict of interest policy is to protect NCHJA's interest when it is contemplating entering into a transaction or arrangement that might benefit the private interest of an officer or director

of NCHJA or might result in a possible excess benefit transaction. This policy is intended to supplement but not replace any applicable state and federal laws governing conflict of interest applicable to nonprofit organizations.

Section 2. DEFINITIONS

- (a) Interested Person - Any director, principal officer, or member of a committee with governing board delegated powers, who has a direct or indirect financial interest, as defined below, is an interested person.**
- (b) Financial Interest - A person has a financial interest if the person has, directly or indirectly, through business, investment, or family:**
 - (1) An ownership or investment interest in any entity with which NCHJA has a transaction or arrangement,**
 - (2) A compensation arrangement with NCHJA or with any entity or individual with which NCHJA has a transaction or arrangement, or**
 - (3) A potential ownership or investment interest in, or compensation arrangement with, any entity or individual with which NCHJA is negotiating a transaction or arrangement.**

Compensation includes direct and indirect remuneration as well as gifts or favors that are not insubstantial.

A financial interest is not necessarily a conflict of interest. Under Rule XXXII, Section 3(b), a person who has a financial interest may have a conflict of interest only if the appropriate governing board or committee decides that a conflict of interest exists.

Section 3. PROCEDURES

- (a) Duty to Disclose - In connection with any actual or possible conflict of interest, an interested person must disclose the existence of the financial interest and be given the opportunity to disclose all material facts to the Board of Directors.**
- (b) Determining Whether a Conflict of Interest Exists - After disclosure of the financial interest and all material facts, and after any discussion with the interested person, he/she shall leave the board meeting while the determination of a conflict of interest is discussed and voted upon. The remaining members of the Board of Directors shall decide if a conflict of interest exists.**
- (c) Procedures for Addressing the Conflict of Interest**

- (1) An interested person may make a presentation at the Board of Directors meeting, but after the presentation, he/she shall leave the meeting during the discussion of, and the vote on, the transaction or arrangement involving the possible conflict of interest.**
 - (2) The Board of Directors shall, if appropriate, appoint a disinterested person or committee to investigate alternatives to the proposed transaction or arrangement.**
 - (3) After exercising due diligence, the Board of Directors shall determine whether NCHJA can obtain with reasonable efforts a more advantageous transaction or arrangement from a person or entity that would not give rise to a conflict of interest.**
 - (4) If a more advantageous transaction or arrangement is not reasonably possible under circumstances not producing a conflict of interest, the Board of Directors shall determine by a majority vote of the disinterested directors whether the transaction or arrangement is in NCHJA's best interest, for its own benefit, and whether it is fair and reasonable. In conformity with the above determination it shall make its decision as to whether to enter into the transaction or arrangement.**
- (d) Violations of the Conflicts of Interest Policy**
- (1) If the Board of Directors has reasonable cause to believe a board member or officer has failed to disclose actual or possible conflicts of interest, it shall inform the board member or officer of the basis for such belief and afford the board member or officer an opportunity to explain the alleged failure to disclose.**
 - (2) If, after hearing the board member or officer's response and after making further investigation as warranted by the circumstances, the Board of Directors determines the board member or officer has failed to disclose an actual or possible conflict of interest, it shall take appropriate corrective action.**

Section 3. RECORDS OF PROCEEDINGS - The minutes of the Board of Directors shall contain:

- (a) The names of the persons who disclosed or otherwise were found to have a financial interest in connection with an actual or possible conflict of interest, the nature of the financial interest, any action taken to determine whether a conflict of interest was present, and the Board of Directors decision as to whether a conflict of interest in fact existed.**

- (b) **The names of the persons who were present for discussions and votes relating to the transaction or arrangement, the content of the discussion, including any alternatives to the proposed transaction or arrangement, and a record of any votes taken in connection with the proceedings.**

Section 5. COMPENSATION - A board member or officer who receives compensation, directly or indirectly, from NCHJA for services is precluded from voting on matters pertaining to that board member or officer's compensation.

Section 6. ANNUAL STATEMENTS - Each board member and officer shall annually sign a statement which affirms such person:

- (a) **Has received a copy of the conflicts of interest policy,**
- (b) **Has read and understands the policy,**
- (c) **Has agreed to comply with the policy, and**
- (d) **Understands NCHJA is a tax-exempt organization and in order to maintain its federal tax exemption it must engage primarily in activities which accomplish one or more of its tax-exempt purposes.**

Section 7. PERIODIC REVIEWS - To ensure NCHJA does not engage in activities that could jeopardize its tax-exempt status, periodic reviews shall be conducted. The periodic reviews shall, at a minimum, include the following subjects:

- (a) **Whether compensation arrangements and benefits are reasonable, based on competent survey information, and the result of arm's length bargaining.**
- (b) **Whether partnerships, joint ventures, and arrangements with management organizations conform to NCHJA's policies, are properly recorded, reflect reasonable payments for goods and services, further NCHJA's purposes and do not result in inurement, impermissible private benefit or in an excess benefit transaction.**

Section 8. USE OF OUTSIDE EXPERTS - When conducting the periodic reviews as provided for in Rule XXXII, Section 7, NCHJA may, but need not, use outside advisors. If outside experts are used, their use shall not relieve the Board of Directors of its responsibility for ensuring periodic reviews are conducted.